



**Wednesday Welcome Networking Reception**

Thanks to



1808 @ HUTTON HOTEL  
6-8 PM

**Thursday Member Appreciation Reception**

Thanks to



12TH & PORTER • 114 12TH AVE N.  
6-9 PM

START TIME	END TIME	ROOM LOCATION	SESSION TITLE
7:30 AM	8:15 AM	THE EXCHANGE	<b>BREAKFAST, NETWORKING &amp; VISITING WITH SPONSORS</b>
8:15 AM	8:30 AM	VISTA BALLROOM	<b>WELCOME &amp; INTRODUCTIONS</b>
8:30 AM	10:00 AM	VISTA BALLROOM	<b>KEY NOTE PRESENTATION: INFLUENCE</b> SPONSORED BY COMPASS COMPLIANCE SERVICES FEATURING HEATHER CHRISTIE, PROFESSIONAL LEADERSHIP SPEAKER
10:00 AM	10:30 AM	THE EXCHANGE	<b>BREAK FOR NETWORKING WITH SPONSORS</b>
10:30 AM	11:45 AM	BREAKOUT	<b>BREAKOUT SESSION #1</b>
11:45 AM	12:30 PM	VISTA BALLROOM	<b>BEST NEW SOLUTIONS</b> SPONSORED BY LASERFICHE FEATURING TOM HARMKE, AIMEE HAUFF, KENT LAWSON AND KEVIN SMITH
12:30 PM	1:30 PM	VISTA BALLROOM	<b>LUNCH</b> EVENT SPONSOR SAL <sup>ENTICA</sup>
1:30 PM	2:45 PM	BREAKOUT	<b>BREAKOUT SESSION #2</b>
2:45 PM	3:15 PM	THE EXCHANGE	<b>DESSERT &amp; COFFEE WITH SPONSORS</b>
3:15 PM	4:00 PM	VISTA BALLROOM	<b>EXECUTIVE INSIGHT: NAVIGATING CROSSROADS IN YOUR CAREER</b> FEATURING MICHELLE MCDONOUGH, JO DAY, AND CARRIE ZIPPI
4:15 PM	4:45 PM	VISTA BALLROOM	<b>CLOSING SPEAKER - OPS &amp; COMPS</b> FEATURING STEVE UNZICKER OF ANZU
4:45 PM	5:00 PM	VISTA BALLROOM	<b>AUG CLOSING REMARKS</b>
6:00 PM	9:00 PM	Offsite: 12th & Porter	<b>MEMBER APPRECIATION RECEPTION</b> SPONSORED BY SS&C ADVENT

Need to **charge your phone?** Visit the Charging Station!

2017 SPONSORS



### BREAKOUT #1 10:30 AM - 11:45 AM

ROOM	SESSION
Hillsboro 1	Cost Basis Reconciliation & Reporting, making the move
Hillsboro 2	CRM Best Practices
Hillsboro 3	Current SEC Exam Trends
Hillsboro C	Marketing: Strategy, Tracking, and Branding
Vista A	Keynote Breakout - Powerful Coaching Leadership
Vista B	Cybersecurity
Midtown	SS&C Advent Update - General & Axyx
Westend	Mergers & Acquisitions, bringing systems together
THE EXCHANGE	Visit with Vendors in THE EXCHANGE

### BREAKOUT #2 1:30 PM - 2:45 PM

ROOM	SESSION
Hillsboro 1	Leadership - Collaboration, Communication, and Culture
Hillsboro 2	Technology - With The Best New Solutions Panel
Hillsboro 3	Trading Efficiencies and Managing A Compliant Desk
Hillsboro C	Vendor Due Diligence
Vista A	Keynote Breakout - Powerful Coaching Leadership
Vista B	Using Technology for Back Office Efficiencies
Midtown	SS&C Advent Update - General & APX
Westend	Custody Confusion - Surprise Exams
THE EXCHANGE	Visit with Vendors in THE EXCHANGE

#### MODERATOR(S)

Verity Larsen - *Versoft*  
Ed Wright - *Salentica*  
Michael Henry - *Compass*  
Kim Kalicky  
Heather Christie - *Evolve Global*  
Alan Jackson - *Ashland*  
Matt Ahlstrand - *SS&C*  
Diane Herrera - *CSSI*

#### MODERATOR(S)

Jason Abelowitz  
Tom Harmke  
Kristen McGovern  
Heather Sevillano  
Heather Christie - *Evolve Global*  
James Cantwell - *Versoft*  
Matt Ahlstrand - *SS&C*  
Carrie Zippi - *Ashland*

## FRIDAY, APRIL 28, 2017 MEMBERS ONLY EXECUTIVE ROUNDTABLES

### BREAKFAST & NETWORKING 9:00 AM - 10:00 AM

### EXECUTIVE ROUNDTABLE DISCUSSIONS 10:00 AM - 12:00 PM

ROOM	DISCUSSION TOPIC	MODERATOR
Hillsboro 1	CTO	Dory Bowers
Hillsboro 2	COO	Niraj Shah
Hillsboro 3	COO	Jason Abelowitz
Hillsboro C	CTO	Kerry Kobe
Vista A	Peer-2-Peer	Jennifer Koestner
Vista B	Peer-2-Peer	Angie Wolf
Midtown	CCO	Michelle Katauskas
Westend	Family Office	Jim Seng



#### KEYNOTE PRESENTATION

### HEATHER CHRISTIE

Professional Leadership Speaker

Heather ditched the cold weather in Chicago along with a very lucrative legal career as former Partner of a 100 attorney law firm specializing in Legislative and Regulatory Law. She took her learnings from some of the most influential people in the world during her legal career and in 2005, founded her Business and Executive Coaching Firm in Southwest Florida where the sun always shines.

Heather's clients will travel from around the world to come to Southwest Florida – whether it be a Strategic Executive Retreat or a personalized Executive Coaching Alignment Session. Heather is dedicated to developing leaders. She brings a new approach to Coaching and Training by combining her legal, lobbying, business and leadership coaching background to help Executives and Emerging Leaders improve corporate and personal performance. For several years, Heather owned a coaching franchise with the number one ranked business coaching company in the world. Heather was consistently in the Top 20 coaches in the world over the years, at the height of her career ranking #1 female coach and #2 overall in the world. Heather is a professional member of the National Speakers Association and serves on the Board of Directors for the Florida Speakers Association South Florida Chapter.

Heather has been married to her husband, Paul for over 10 years. When she is not speaking, coaching or facilitating corporate retreats, you will find her on the tennis courts practicing her game and her patience. Heather ran her first (and last) marathon in Athens, Greece in 2010 where she and Paul retraced the steps of the original marathon route from Marathon to Athens on the 2,500th anniversary of the original marathon.



#### CLOSING PRESENTATION

### STEVEN M. UNZICKER

Founder & Managing Director

Steve has worked in financial services for more than two decades. He has consulted to leading asset management firms globally, designing strategic plans, advising on transactions, managing post-merger integration projects, re-engineering key processes, optimizing product lines, and creating compensation plans.

As a consultant, Steve was often struck by the shortage of quality data or thoughtful analysis pertaining to the business of asset management. ANZU was founded to fill this gap. By combining his experience with industry surveys with his experience as an advisor to senior industry executives, Steve aimed to raise the standard of research on the investment management industry.

His insights has since been shared in a variety of forums, including the Institutional Investor Institute, the Investment Company Institute, the National Investment Company Service Association, the Investment Adviser Association, the Association of the Luxembourg Fund Industry, and others.

Steve is based in Seattle and serves clients globally. He has a BA in East Asian Studies from the University of Chicago and earned his MBA at London Business School, where he studied finance and strategy.