

TAB 1: SEBA GOVERNANCE POLICY — POLICY TYPE: BOARD-MANAGEMENT LINKAGE

Last updated: April 16, 2015 by Wanda Jewell

24A. MONITORING SCHEDULE

<u>Policy</u>	<u>Method</u>	<u>Frequency</u>
1. Global	Internal	Annually
2. Treatment of Consumers	Internal	Annually
3. Treatment of Staff	Internal	Annually
7. Asset Protection	Internal	Semi-Annually
	External	Annually
4. Financial Planning/Budgeting	Internal	Annually
5. Financial Condition & Activities	Internal	Three Times a Year
	External	Annually
6. Emergency CEO Succession	Internal	Annually
8. Compensation & Benefits	Internal	Annually
9. Communication & Support	Direct Inspection	Semi-Annually
25. Ends	Internal	Annually

MONITORING REPORT SCHEDULE

Monitoring to receive prior to Meeting One:

Global Executive Constraint – Internal

Treatment of Consumers – Internal

Treatment of Staff – Internal

Financial Planning/Budgeting – Internal & External

Actual Financial Conditions and Activities – Internal

Emergency CEO Succession – Internal

Asset Protection – Internal & External

Compensation and Benefits – Internal

Monitoring to receive prior to Meeting Two:

5. Actual Financial Condition and Activities – Internal

9. Communication and Support to the Board – Direct Inspection

Monitoring to receive prior to Meeting Three

5. Actual Financial Condition and Activities – Internal

7. Asset Protection – Internal

9. Communication and Support to the Board – Direct Inspection

25. Mega-End – Internal