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Recycling and Composting Emissions Protocol

For Estimating Greenhouse Gas Emissions and Emissions Reductions
Associated with Community Level Recycling and Composting

PUBLIC COMMENT DRAFT

April 2012

Developed by
ICLEI—Local Governments for Sustainability USA

29

30 **Acknowledgments**

31 Contributions from an advisory committee were invaluable in the development of this Protocol. ICLEI
32 gratefully acknowledges these individuals below. Additionally, ICLEI USA is grateful to all of the
33 individuals and organizations that submitted written and verbal comments on the draft versions of this
34 Protocol.

35

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47

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78

79 1. Introduction

80 ICLEI-USA has produced this Recycling and Composting Emissions Protocol (RC Protocol) in recognition
81 of the contribution recycling and composting can make to greenhouse gas reduction efforts, and the
82 high degree of influence that local governments have in this area. This Protocol may stand on its own, or
83 it may be used in conjunction with the Community Protocol for Emissions Accounting and Reporting
84 (Community Protocol)¹ Local governments using the Community Protocol are encouraged to also use
85 this RC Protocol to document and communicate the climate benefits of recycling and composting. The
86 expansion of recycling and composting programs in recent decades has been largely - although not
87 exclusively - driven by decisions made at the city and county level to provide for recycling and
88 composting services. In some cases, programs to increase recycling and composting rates can be among
89 the most cost effective actions local governments can take to reduce community GHG emissions.

90

91 This RC Protocol is designed to meet two distinct objectives:

- 92 1. **Overall reductions²:** Estimate the full greenhouse gas emissions and emissions reductions of
93 community-scale recycling and composting efforts (whether or not some of these emissions are
94 already included in the community's greenhouse gas inventory).
- 95 2. **Additional reductions:** Estimate just those emissions and emissions reductions that are not
96 otherwise already accounted for in the community's greenhouse gas inventory, as calculated
97 under the Community Protocol.

98 Communities may use this Protocol to satisfy either or both of these objectives.

99

100 RC Protocol Organization

101 This Protocol is laid out in four sections:

- 102 1. An overview of key concepts and describes the relationship of this protocol to the Community
103 Protocol, and to EPA's WASTE Reduction Model (WARM).
- 104 2. Methodologies for estimating the amount of materials collected for recycling and composting in
105 your community. You will need these methods to estimate either overall reductions or
106 additional reductions.
- 107 3. Methodologies for overall reductions as defined above.
- 108 4. Methodologies for additional reductions as defined above.

109

¹ <http://www.icleiusa.org/tools/ghg-protocol/community-protocol>

² The term 'reductions' is used here for simplicity. In reality, both emissions and emissions and emissions reductions will be calculated as part of either overall reductions or additional reductions. In most cases, the net impact will be an emissions reduction; however it is possible that recycling or composting could cause a net emissions increase (for example, this can be the case if yard waste is diverted to composting from a combustion facility producing energy).

110 If you are only interested in overall reductions, you will not need to refer to the fourth section; however
 111 information in both sections 3 and 4 will be useful for estimating additional reductions.

112
 113 Estimating additional reductions is more complicated than estimating overall reductions. Overall
 114 reductions tell the most complete overall story of the gross emissions reductions associated with
 115 community-scale recycling and composting. Additional reductions provide greater detail on where and
 116 how emissions or emissions reductions occur. A comparison of results from the two methods can
 117 uncover which emissions and emissions reductions occur inside the community vs. outside of it. This can
 118 be helpful for action planning and measuring emissions reduction progress in future inventories. While
 119 the total calculated for overall reductions is a valuable contribution to global emissions reductions, only
 120 that portion that *is* included in your inventory (the difference between overall reductions and additional
 121 reductions) will contribute to local reductions. These reductions are measured by comparing a baseline
 122 inventory with a subsequent inventory.

123
 124 While the ICLEI Community Protocol does not allow emissions reductions to be subtracted from the
 125 community’s gross emissions as reported in its inventory, an inventory can report this information. on
 126 emissions reduction efforts as a separate line item (or line items), adjacent to (but separate from) gross
 127 emissions. Even though recycling benefits are not to be subtracted from gross emissions, communities
 128 interested in reporting these two numbers alongside each other may want to use section 4 (additional
 129 reductions) in order to report out the “additional” emissions and emissions reductions associated with
 130 recycling and composting that are not counted elsewhere in the community’s inventory. It may be most
 131 useful to present both overall reductions and additional reductions alongside the community’s gross
 132 inventory, as shown in the following hypothetical (and simplified) example:

133
 134 **Example 1.1: Reporting of gross emissions and recycling benefits for hypothetical community**

	Reporting Framework	
	Significant Influence	Household Consumption
2012 GHG Gross Emissions ¹	435,000 MTCO ₂ e	540,000 MTCO ₂ e
Additional Benefits of Recycling	-22,000 MTCO ₂ e*	N/A**
*The full benefits of recycling are estimated at 35,000 MTCO ₂ e in emissions reductions. Of these, 13,000 are already reflected in the 2012 gross emissions (reduced emissions from community-generated landfill waste and reduced energy use in our city’s steel mill, which has reduced emissions in part due its use of recycled metal from other city households and businesses). **Most benefits of community recycling are already included in our consumption-based estimate.		

135
 136 **Relation to Community Protocol**
 137 Recycling and composting both contribute to emissions and emissions reductions. Examples of
 138 emissions include the emissions from driving collection vehicles, energy use at recycling
 139 processing/sorting facilities, emissions from compost facility equipment, and emissions associated with
 140 the long-distance transport of recyclables to distant markets. Significant emissions reductions can occur
 141 in the following ways:

- 142 • Recycling avoids “upstream” GHGs emitted in raw material acquisition, manufacture and
143 transport of virgin inputs and materials.
- 144 • Recycling of wood and paper products increases the amount of carbon stored in forests.
- 145 • Recycling and composting reduces emissions associated with landfilling and/or combustion of
146 wastes.

147 Some of these emissions and emissions reductions may already be fully or partially included in a
148 community inventory developed using ICLEI's Community Protocol. Different communities will have
149 different overlap between their community inventory and recycling/composting net emissions,
150 depending on several factors. These factors include which sources and activities are included in their
151 community inventory, as well as the nature of their recycling processing and location of their end
152 markets.

153 For the first objective of this RC Protocol—estimating the full emissions impacts/reductions of
154 community-scale recycling and composting—emissions and emissions reductions are estimated
155 independently of the community inventory, and the Community Protocol. However, the second
156 objective involves estimating just those emissions and emissions reductions that are not otherwise
157 already included in a community-wide inventory. In this case, understanding which emissions and
158 reductions are already included in the community's inventory is an essential step in the accounting
159 process. This will be explored in more detail in section 4.

Box 1.1: Source Reduction

This Recycling and Composting Protocol does not provide methods for estimating the greenhouse gas impacts of source reduction. Also called “waste prevention”, source reduction is preferred over recycling and composting in the waste management hierarchy adopted as policy by EPA and many states. Regardless, few communities attempt to quantify the tons of wastes that are “prevented” through prevention practices, which involve changing how materials are purchased and used. Communities interested in documenting the impacts of these types of programs are encouraged to review ICLEI’s Community Protocol, and specifically Appendices H (Emissions Associated with the Community’s Use of Materials and Services: Accounting for Trans-boundary Community-Wide Supply Chains) and I (Consumption-Based Emission Activities and Sources). These two appendices provide methods for estimating the emissions associated with the use of materials. When source reduction is thought of as avoided use, communities can use these appendices in one of two ways. One way is to estimate pre- and post-source reduction emissions associated with materials use and include these full emissions in their community inventories. Otherwise, communities can use the emissions factors in these appendices to estimate the emissions reductions associated with specific and discrete source reduction initiatives, if the associated reductions in material tonnage or consumption are known.

160 Relation to EPA's WARM Tool

161 This RC Protocol draws heavily on the underlying methods, data, and calculations embedded in the U.S.
162 EPA's WASTE Reduction Model (WARM). In fact, communities that are only interested in the first
163 objective of this RC Protocol (estimating the full emissions and emissions reductions) may choose to use
164 the WARM tool instead. Differences between this ICLEI RC Protocol and WARM are summarized below.

165

166 Differences between this RC Protocol and WARM

- 167 • WARM can only be used to estimate the full emissions and emissions reductions associated with
168 recycling and composting, while this RC Protocol can also be used to estimate the subset of those
169 emissions and emissions reductions that are not already included in a community-wide inventory.
- 170 • This RC Protocol makes a simplifying assumption of lifetime 75% methane collection rate for all
171 landfills with gas collection systems, in order to be consistent with the Community Protocol. Use of
172 WARM provides for greater flexibility in modeling, such as differences in landfill gas collection
173 efficiencies, as well as distances to end-markets, use of regional electric grids (relevant for energy
174 recovery from waste landfilling and incineration), and other landfill conditions, including rainfall and
175 extent and type of gas collection system.
- 176 • This RC protocol differs from WARM in the handling of carbon storage. WARM includes three types
177 of carbon storage:
- 178 1. Increased storage of carbon in forests, when paper and wood products are recycled.
 - 179 2. Increased storage of carbon in soils that are treated with finished compost.
 - 180 3. Increased storage of carbon in landfills when certain biogenic wastes (such as lumber) are
181 landfilled.

182 The ICLEI RC Protocol only accounts for the first type of carbon storage (forests), and not the others
183 (soils and landfills). Storage of carbon in forests is fundamentally different in that it represents
184 "new" or "added" carbon that was not already in circulation in commerce. In contrast, composting
185 and landfilling merely serve to move carbon from one pool (products and/or landscaping) into
186 another pool (compost and/or landfill) and are not effectively removing carbon from the
187 atmosphere. For these reasons, the ICLEI RC Protocol includes increased storage of carbon in forests
188 associated with recycling of paper (consistent with WARM), but excludes consideration of waste and
189 product carbon that merely moves from one carbon pool to another via composting or landfilling. To
190 determine the amount of carbon that remains undegraded in a landfill or finished compost product,
191 a community can refer directly to WARM³. This figure should not be added to or subtracted from
192 the greenhouse gas emissions and emissions reductions calculated using this RC Protocol.

193 **Treatment of Emissions over Time**

194 Both the ICLEI RC Protocol and WARM roll-up emissions and reductions over multiple years into a single
195 data point associated with the year in which the waste was generated. In reality, the emissions
196 reductions associated with recycling occur over multiple years. For example, when paper is recycled
197 instead of landfilled, future landfill emissions are reduced, and forest carbon storage increases over time

³ For landfilling, see Exhibit 12, Landfilling, WARM Version 12 (February 2012);
<http://www.epa.gov/climatechange/waste/downloads/Landfilling.pdf><http://www.epa.gov/climatechange/waste/downloads/Landfilling.pdf>. For composting, see Exhibit 8 (both "soil carbon restoration" and "increased humus formation"), Composting, WARM Version 12 (February 2012);
<http://www.epa.gov/climatechange/waste/downloads/Composting.pdf>. Exhibit 12, Landfilling, WARM Version 12 (February 2012); <http://www.epa.gov/climatechange/waste/downloads/Landfilling.pdf>

198 because of decreased harvesting. WARM rolls all of these emissions and emissions reductions into a
199 single data point, although EPA explicitly states that WARM should not be used as an inventory tool.
200 Since the ICLEI Community Protocol already requires communities to assign to the inventory year the life
201 cycle (future) emissions associated with landfilling of community-generated waste during the inventory
202 year, it is consistent to roll up avoided future landfill emissions as well as other future emissions changes
203 (such as forestry changes) when accounting for the benefits of recycling under this RC Protocol.

204

205 **Additional Benefits of Composting**

206 In addition to carbon storage, composting provides other potential emissions reduction benefits. For
207 example, when finished compost is applied to soils, it improves their water retention capacity, thus
208 conserving water and reducing emissions associated with pumping and applying water. Similarly, some
209 applications of compost result in reduced demand for manufacture of emissions-intensive synthetic
210 fertilizers. These types of emissions reductions associated with application of compost are not included
211 in this version of the RC Protocol as research is still underway to develop appropriate emissions factors.
212 This approach is consistent with WARM at the time of this writing; this RC Protocol will be updated as
213 WARM factors are updated.

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215 2. Estimating Quantity of Material Recycled and Composted

216

217 2.1 Overview

218 Estimation of emissions reductions associated with recycling and composting starts with an estimate of
219 the quantity and composition of material recycled and composted. Some communities may have high
220 quality data, but many will not have complete data. Local governments frequently operate or oversee
221 collection of recyclable and compostable materials from single-family residences, and sometimes from
222 multi-family residential buildings. As a result, local governments usually have access to good data on
223 materials from these sources. However, most collection from commercial buildings and some from
224 multi-family residential buildings are done by multiple private haulers, making data hard to obtain.
225 Commercial recycling also involves significant back-haul, in which chain retailers, especially big-box
226 retailers and supermarkets collect recyclables from their stores through their own delivery trucks and
227 distribution centers. Also common is direct sale or self-haul recycling (from office complexes or
228 contractors, for example). This makes it challenging to obtain comprehensive community-scale
229 measurement data on amount and composition of materials recycled. This lack of data is made more
230 significant by the fact that, in some communities, the amount of materials recycled from commercial
231 buildings may be greater than the amount from residential buildings.

232 This section describes what preferred data would look like, and also provides an alternate method(s) for
233 communities without access to the preferred data.

234 The following data sources are listed in order of preference:

- 235 1. Comprehensive local data reflecting actual recycling mass and composition. This could include
236 community-specific information from a hauler or materials recovery facility⁴, or a community
237 generation study.
- 238 2. An estimate of recycling volume and composition using standardized characterizations provided
239 by a regional or state agency. For example, CalRecycle's 2006 Waste Disposal and Diversion
240 Findings for Select Industry Groups report reflects private commercial recycling activity that may
241 not be reflected in local data.
- 242 3. US EPA's Municipal Solid Waste Generation, Recycling and Disposal in the United States report,
243 which is updated annually.⁵

244 In general, local data will be more *accurate* than EPA or state data, but will typically be less
245 *comprehensive*.⁶ As described above, many local governments will have accurate data on single-family

⁴ Note that data from a materials recovery facility alone will not include back-haul and self haul recycling, and may significantly undercount the total mass recycled.

⁵ Construction and demolition activity, which generates a significant amount of waste and a significant amount of recycled material, is not included in this report.

⁶ It is worth noting that some communities and states do undertake comprehensive recycling quantification studies that are both relatively accurate and comprehensive.

246 residential and possibly some commercial collection, but may have little data on a significant part of
247 commercial collection. Thus the best data to use will depend in part on your purpose and estimating
248 emissions and reductions. If you want to calculate reductions that can be attributed to a specific local
249 government program, for example your single-family residential collection, then you should use local
250 data. If however you are trying to estimate the total impact of all recycling activity in your community
251 and do not have comprehensive local data, then use the method for adjusting national averages with
252 local data in section 2.4.

253

254 **2.2 Preferred Data**

255 As noted above, most local governments will not have access to the preferred data for all recycled and
256 composted materials collected in the community. For those local governments that do have that data
257 and wish to start putting systems in place to collect this data, a description of what that data would look
258 like is provided. Access to measured data on amount of materials recycled is essential for communities
259 that want to accurately track the GHG emissions impact of their recycling and composting programs
260 over time.

261 Two kinds of information are needed to calculate emissions reductions from recycling or composting:

- 262 1. The total amount of material recycled or composted
- 263 2. The percentage breakdown by material type or amount by material type (note that the
264 composition of the recycled stream will vary significantly from the composition of the landfill
265 bound waste stream for your community).

266 If you have local data on amount of material recycled and composted, but not on composition, you can
267 use your local quantity data with the 'Mixed Recyclables' category in Sections 3 and 4. The Mixed
268 Recyclables category is a weighted average emissions/emissions reduction factor, based on national
269 average composition. For reference, this composition is shown in Table 2.2 below.

270 **2.3 Alternate Data: EPA National Statistics**

271 If you do not have data on local material diversion, you can use national average amounts to estimate
272 the baseline emissions impact of recycling and composting in your community. Table 2.1 shows national
273 average total amounts of waste generated, recycled and composted. This information can be useful to
274 estimate the additional impact of policies to increase recycling and composting rates. For example, if a
275 policy was expected to increase recycling by 50%, you could use the defaults below and methods in
276 sections 3 and 4 to estimate the additional emissions reductions from that policy. However, it is
277 important to note that this approach will not allow you to measure the actual impact over time of
278 increased recycling and composting in your community. To do this, you will need local measured data as
279 outlined in the preferred data section.

280 In several states, state level data similar to Table 2.1 will be available, and may be used in place of
281 national averages. Some states have data broken down to a county level that can be used. Another

282 potential source of data is the report “The State of Garbage in America⁷,” produced by BioCycle and the
 283 Earth Engineering Center of Columbia University.

284 **Table 2.1: Average Waste Generated and Diverted per Person**

Category	Amount (lbs/person/day) US Average, 2010 ⁸
Total MSW generated	4.43
Diverted for recycling	1.15
Diverted for composting	0.36
Net discarded	2.92

285
 286 **Table 2.2: Average Composition of Recycling in 2010⁹**

Material	Percent of All Materials Recycled
Paper and paperboard	68.6%
Glass	4.8%
Ferrous metals	8.8%
Aluminum	1.0%
Other non-ferrous metals	2.3%
Plastics	3.9%
Rubber and Leather	1.8%
Textiles	3.0%
Wood	3.5%
Other	2.2%
Material	Percent of Material Recovered for Composting
Food Waste	4.8%
Yard Trimmings	95.2%

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⁷ http://www.biocycle.net/images/art/1010/bc101016_s.pdf

⁸ US EPA. *Municipal Solid Waste Generation, Recycling, and Disposal in the United States Tables and Figures for 2010*, Table 29. http://www.epa.gov/epawaste/nonhaz/municipal/pubs/2010_MS_W_Tables_and_Figures_508.pdfhttp://www.epa.gov/epawaste/nonhaz/municipal/pubs/2010_MS_W_Tables_and_Figures_508.pdf

⁹ US EPA. *Municipal Solid Waste Generation, Recycling, and Disposal in the United States Tables and Figures for 2010*, Table 2. http://www.epa.gov/epawaste/nonhaz/municipal/pubs/2010_MS_W_Tables_and_Figures_508.pdf, http://www.epa.gov/epawaste/nonhaz/municipal/pubs/2010_MS_W_Tables_and_Figures_508.pdf. Ibid. Calculated from Table 2. This data does not include construction and demolition waste.

290 **2.3 Method for estimating materials recycled and composted using national averages**

291 Step 1: Multiply the per person numbers from Table 2.1 by your community population, and by 365 to
292 obtain recycling and composting totals for your community.

293 Step 2: Use the methods in Section 3 and Section 4 (if calculating additional reductions) to estimate
294 emissions, applying the 'Mixed Recyclables' category to the quantity from step 1.

295 **2.4 Method for adjusting national averages with local data**

296 Use this method where you are trying to estimate total net emissions reductions associated with all
297 recycling and/or composting activity in your community, and where you do *not* have comprehensive
298 local data on recycling and composting collection.

299 Step 1: Using local data sources (e.g., collection records, MRF reports, compost facility records, etc.),
300 determine local pounds per person per day for each material.

301 Step 2: Multiply the material specific recycling and composting percentages in Table 2.2 by the national
302 average pounds per person per day diverted for recycling or composting (Table 2.1.), to obtain an
303 average pounds per person per day for each material.

304 Step 3: For each material, use whichever result from Step 1 or Step 2 is greater. The reason for this is
305 that where local data shows a higher number, it most likely means a local program is actually diverting
306 more material than the national average. Where the national average number is higher, it is most likely
307 because the local data is not comprehensive. If you have local data that you know is comprehensive, use
308 that number, even if it is lower than the national average.

309 Step 4: Multiply the result of Step 3 by your population and by 365 to obtain annual amounts recycled
310 and composted for each material.

Example 2.1: Adjusting national averages with local data
Step 1: A community has measured glass collection of 0.1 pounds per person per day, and paper and paperboard collection of 0.5 pounds per person per day.
Step 2: national pounds per person per day (ppd) Glass ppd = (1.15 ppd all recyclables) * (4.8% glass) = 0.055 ppd glass Paper and paperboard ppd = (1.15 ppd all recyclables) * (68.6% paper and paperboard) = 0.79 ppd paper and paperboard
Step 3: Since 0.1 is greater than 0.0552, use 0.1ppd for glass. Since 0.79 is greater than 0.5, use 0.79 ppd for paper and paperboard.
Step 4: Community population is 100,000. 0.1 ppd glass * 365 * 100,000 = 3,650,000 lbs glass per year 0.79 ppd paper and paperboard *365 * 100,000 = 28,835,000 lbs paper and paperboard per year
The above steps would need to be repeated for each material type. For any material where local data is

not available, the national average can be used.

311

312 **3. Estimating Total Emissions Reductions**

313 **3.1 Background**

314 This Protocol provides methods for estimating the emissions reductions associated with recycling and
315 composting at the community scale. This section provides a method for estimating the full, net
316 emissions reductions associated with community recycling and composting, whether or not those
317 emissions and emission reductions (such as reduced landfill emissions) are already reflected in the
318 community's GHG inventory. Section 4 provides a method for identifying and estimating only those
319 emissions and emissions reductions that are not already accounted for in the community's GHG
320 inventory. Both approaches draw on the same basic modeling and data sources for emissions factors, so
321 a short overview is provided.

322 This Protocol draws extensively on EPA's Waste Reduction Model, or WARM, for overall methodology
323 and material-specific emissions factors. There are some specific difference between this Protocol and
324 WARM, which are identified below.

325 **3.1.1 Recycling Background**

326 Recycling is a process that takes materials or products that are no longer wanted by the original user
327 and either (1) reprocesses them to be used in the manufacture of a similar product or (2) transforms
328 them into a different product (see Box 3.1). When a material is recycled, it is used in place of virgin
329 inputs in the manufacturing process of the new product, rather than being disposed of and managed as
330 waste. Consequently, recycling provides GHG reduction benefits in three ways, depending upon the
331 material recycled: (1) it offsets a portion of "upstream" GHGs emitted in raw material acquisition,
332 manufacture and transport of virgin inputs and materials, (2) it increases the amount of carbon stored in
333 forests when wood and paper products are recycled, and (3) it reduces emissions associated with
334 landfilling and/or combustion of wastes.

Box 3.1: Closed Loop and Open Loop Recycling

Recycling may be either closed loop or open loop, with consequences for the resulting savings of energy and virgin material inputs. In closed loop recycling, a product is turned into a new version of the same product. Aluminum beverage containers are an example of a product that can be recycled in this way. In open loop recycling, a product is turned into a different new product. An example is recycling plastic bottles into plastic lumber.

335 WARM assesses the GHG emission implications of recycling from the point of waste generation (i.e.,
336 starting at the point when the material is collected for recycling) through the point where the recycled
337 material or product has been manufactured into a new product for use. This includes all of the GHG
338 emissions associated with collecting, transporting, processing and recycling or manufacturing the
339 recycled material into a new product for use.

340 In calculating the first source of GHG reduction benefits, WARM assumes that recycling materials does
341 not cause a change in the amount of materials that would otherwise have been manufactured. Since the
342 amount of product manufactured stays the same, an increase in recycling leads to a displacement of
343 virgin-sourced materials. To account for the emissions associated with virgin manufacture, WARM
344 calculates a “recycled input credit” by assuming that the recycled material avoids—or offsets—the
345 upstream GHG emissions associated with producing material from virgin inputs. This credit represents
346 the difference in emissions that results from using recycled inputs rather than virgin inputs. The credit
347 accounts for loss rates in collection, processing and remanufacturing. Recycling credit is based on
348 closed- or open-loop recycling, depending on material.

349 **3.1.2 Composting Background**

350 Composting is a materials management option for yard trimmings, food scraps, and mixed organics.
351 During composting, microbial decomposition transforms organic substrates into a stable, humus-like
352 material.

353 When biodegradable materials such as wood products, food wastes and yard trimmings are placed into
354 a landfill, a fraction of the carbon within these materials degrades into methane (CH₄) emissions. The
355 quantity and timing of CH₄ emissions released from the landfill depends upon three factors: (1) how
356 much of the original material decays into CH₄, (2) how readily the material decays under different
357 landfill moisture conditions, and (3) landfill gas collection practices.

358 Composted material is not landfilled, so methane emissions are avoided. Compost utilization also
359 reduces water use and associated energy emissions, and reduces chemical fertilizer use and associated
360 production emissions. However water and chemical fertilizer emission reductions are not yet sufficiently
361 defined for inclusion in this protocol version. Communities in California may consider the Air Resources
362 Board adopted compost emissions reduction factors, which include water and chemical emission
363 reductions¹⁰.

364 This protocol includes reductions of methane emissions that would otherwise occur from landfill
365 disposal, that are identified in WARM. Minimal carbon dioxide (CO₂) emissions from transportation and
366 mechanical turning of the compost piles are also included and are consistent with WARM. However,
367 WARM also includes carbon storage for composting (associated with application of compost to
368 agricultural soils); this RC Protocol does not include soil carbon storage as emission reductions, as
369 explained in Section 1.

Box 3.2: Anaerobic Digestion

Anaerobic digestion is an emerging technology for disposal of organic wastes; usually it involves adding waste to existing digesters at a wastewater treatment plant. Wastes break down in the digester to produce methane, which is used as an energy source. Methods for estimating emissions and emissions reductions from anaerobic digestion are not included in this version of the RC Protocol because of limited data availability.

370 **3.1.3 Definitions**

371 The following definitions apply to Tables 3.2 and 3.3. Please note throughout this document that all
 372 emissions factors are expressed as positive numbers if emissions occur and negative numbers if they
 373 represent a reduction in emissions. For example, "forest carbon storage emissions" of -3.06
 374 MTCO₂e/short ton represents a *reduction* in emissions of 3.06 MTCO₂e per short ton of waste recycled.

375 **Table 3.1: Definitions of Emissions Types**

Recycled input credit	An emissions credit assuming that the recycled material avoids—or offsets—the GHG emissions associated with producing material from virgin inputs
Process energy emissions	Emissions from energy consumption during the acquisition and manufacturing processes
Transportation emissions	Emissions from energy used to transport feedstocks/raw materials to the point of final production
Process non-energy emissions	Emissions occurring during manufacture that are not associated with energy consumption (For example, perfluorocarbons (PFCs) are emitted during the production of aluminum)
Forest carbon storage	Atmospheric CO ₂ absorbed by growing forests when the rate of uptake exceeds the rate of release
Emissions from using recycled inputs instead of virgin inputs	A sum of the process energy emissions, transportation emissions, process non-energy emissions, and forest carbon storage
Landfill methane	Methane produced from organic matter decaying in anaerobic landfill conditions

376
 377 **3.2 Method for Recycling**

378 Step 1. Estimate the quantity (in short tons) of materials collected from the community for recycling
 379 during the inventory year, by material type. See Section 2 for a discussion of methods for estimating
 380 quantities of material recycled, and Table 3.2 below for a list of material types.

381 Step 2. For each material recycled, determine the facility (either landfill or incinerator) that the material
 382 would have gone to for disposal, had it not been recycled. If your community sends its wastes to
 383 multiple facilities, then a breakdown of waste sent to each facility is needed (e.g., 20% to landfill without
 384 gas collection, 65% to landfill with gas collection, 15% to waste incinerator).

385 Step 3. For each material recycled, multiply the tonnage recycled during the inventory year by the
 386 emissions factor in the column titled "GHG emissions from using recycled inputs instead of virgin inputs"
 387 from Table 3.2.

388 Step 4. For each material recycled, multiply the tonnage recycled during the inventory year by the
 389 appropriate emissions factor for avoided disposal in Table 3.2, based on where the material would have
 390 been disposed of had it not been recycled.¹¹

391 Step 5. Add the results of Steps 3 and 4 together for each material.

392 Step 6. Add the results of Step 5 together for all materials.

393 **Table 3.2. Life-Cycle Recycling Greenhouse Gas Emissions, by Emission Type (MTCO₂e/short ton of**
 394 **material collected for recycling)**

Material	Emissions (+) or reductions (-)				
	From using recycled inputs instead of virgin inputs ¹²	For Avoided Disposal, by Disposal Facility Type (Step 4)			
		Landfill with no gas collection ¹³	Landfill with gas collection but no energy recovery ¹⁴	Landfill with gas collection and energy recovery ¹⁵	Combustion facility ¹⁶
Mixed Recyclables	-2.8	-1.75	-0.47	-0.28	0.42
Aluminum Cans	-8.89	-0.04	-0.04	-0.04	-0.05
Aluminum Ingot	-6.97	-0.04	-0.04	-0.04	-0.05
Steel Cans	-1.8	-0.04	-0.04	-0.04	1.59
Copper Wire	-4.89	-0.04	-0.04	-0.04	-0.05
Glass	-0.28	-0.04	-0.04	-0.04	-0.05
HDPE	-0.86	-0.04	-0.04	-0.04	-1.27
LDPE	NA	-0.04	-0.04	-0.04	-1.28
PET	-1.11	-0.04	-0.04	-0.04	-1.24
LLDPE	NA	-0.04	-0.04	-0.04	-1.27
PP	NA	-0.04	-0.04	-0.04	-1.27
PS	NA	-0.04	-0.04	-0.04	-1.64
PVC	NA	-0.04	-0.04	-0.04	-0.67

¹¹ Note: if your waste is sent to a landfill with gas collection and energy recovery, the calculation presented in section 4.6.2 will, provide a more accurate local result than the factors based on national averages in table 3.2. If you are calculating additional reductions in section 4, or simply want a more accurate result, you can use the factor for a landfill with gas collection and no energy recovery, and then add emissions for lost energy recovery as calculated in section 4.6.2 (for this overall reduction calculate as if all gas or electricity were used outside your community).

¹² Source:

<http://www.epa.gov/climatechange/waste/downloads/Recycling.pdf><http://www.epa.gov/climatechange/waste/downloads/Recycling.pdf>, Exhibit 2, column (f).

¹³ Source: <http://www.epa.gov/climatechange/waste/downloads/Landfilling.pdf> Source:

<http://www.epa.gov/climatechange/waste/downloads/Landfilling.pdf> Calculated as values from Exhibit 17 ("landfills without gas recovery") less carbon storage from Exhibit 16.

¹⁴ Ibid. Calculated as negatives of ("transportation to landfill" (Exhibit 16) plus CH₄ generation (Exhibit 6) less 75% collection and 10% oxidation).

¹⁵ Calculated as emissions from previous column plus an energy recovery credit calculated as 13% (per EPA exhibit 13) of gas collection (calculated as 75% of CH₄ generation).

¹⁶ Source: <http://www.epa.gov/climatechange/waste/downloads/Combustion.pdf> Source:

<http://www.epa.gov/climatechange/waste/downloads/Combustion.pdf> Calculated as negatives of values in Exhibit 7, column (f).

Material	Emissions (+) or reductions (-)				
	From using recycled inputs instead of virgin inputs	For Avoided Disposal, by Disposal Facility Type (Step 4)			
		Landfill with no gas collection	Landfill with gas collection but no energy recovery	Landfill with gas collection and energy recovery	Combustion facility
PLA	NA	-0.04	-0.04	-0.04	0.62
Corrugated Containers	-3.11	-2.31	-0.61	-0.36	0.48
Magazines/Third-Class Mail	-3.07	-0.96	-0.27	-0.17	0.35
Newspaper	-2.78	-0.85	-0.24	-0.15	0.55
Office Paper	-2.85	-3.87	-1.00	-0.58	0.47
Phone Books	-2.65	-0.85	-0.24	-0.15	0.55
Textbooks	-3.11	-3.87	-1.00	-0.58	0.47
Dimensional Lumber	-2.46	-1.21	-0.33	-0.21	0.58
Medium-Density Fiberboard	-2.47	-1.21	-0.33	-0.21	0.58
Mixed Paper (general)	-3.52	-2.16	-0.57	-0.34	0.49
Mixed Paper (primarily residential)	-3.52	-2.05	-0.54	-0.33	0.48
Mixed Paper (primarily from offices)	-3.59	-2.10	-0.56	-0.33	0.44
Mixed Metals	-3.97	-0.04	-0.04	-0.04	1.06
Mixed Plastics	-0.98	-0.04	-0.04	-0.04	-1.25
Carpet	-2.37	-0.04	-0.04	-0.04	-1.10
Personal Computers	-2.35	-0.04	-0.04	-0.04	0.17
Concrete	-0.01	-0.04	-0.04	-0.04	N/A
Fly Ash	-0.87	-0.04	-0.04	-0.04	N/A
Tires	-0.39	-0.04	-0.04	-0.04	-0.51
Asphalt Concrete	-0.08	-0.04	-0.04	-0.04	N/A
Asphalt Shingles	-0.09	-0.04	-0.04	-0.04	0.34
Drywall	0.03	-0.22	-0.08	-0.07	N/A
Fiberglass Insulation	NA	-0.04	-0.04	-0.04	N/A
Vinyl Flooring	NA	-0.04	-0.04	-0.04	0.30
Wood Flooring	NA	-1.02	-0.29	-0.18	0.76

395

Example 3.1 Emissions Reductions from Recycling
A community recycles 2,000 tons of plastics and 10,000 tons of paper (mixed paper, primarily residential). If not recycled, the waste would have been sent to a landfill with landfill gas collection and energy recovery.
$\begin{aligned} \text{Emissions (MTCO}_2\text{e)} &= 2,000 \text{ tons} * (-0.98 + (-0.04) \text{ MTCO}_2\text{e/ton)} + 10,000 \text{ tons} * (-3.52 + (-0.33) \\ &\text{MTCO}_2\text{e/ton)} \\ &= 2,000 \text{ tons} * (-1.02 \text{ MTCO}_2\text{e/ton)} + 10,000 \text{ tons} * (-3.85 \text{ MTCO}_2\text{e/ton)} \\ &= -2,004 \text{ MTCO}_2\text{e} + (-38,500 \text{ MTCO}_2\text{e)} \\ &= -40,504 \text{ MTCO}_2\text{e} \end{aligned}$

396 **3.3 Method for Composting**

397 Step 1. Estimate the quantity (in short tons) of materials collected from the community for composting
 398 during the inventory year by material type. See Section 2 for a discussion of methods for estimating
 399 quantities of material composted, and Table 2 below for a list of material types.

400 Step 2. For each material composted, determine the facility (either landfill or incinerator) that the
 401 material would have gone to for disposal, had it not been composted. If your community sends it wastes
 402 to multiple facilities, then a breakdown of waste sent to each facility is needed (e.g., 20% to landfill
 403 without gas collection, 65% to landfill with gas collection, 15% to waste incinerator).

404 Step 3. For each material composted, multiply the tonnage composted during the inventory year by the
 405 appropriate emissions factor for avoided disposal in Table 3.3, based on where the material would have
 406 been disposed of had it not been composted. Communities in California may consider the Air Resources
 407 Board adopted compost emissions reduction factors, which include water and chemical emission
 408 reductions¹⁷.

409 Step 4. Add the results together for all materials.

410 **Table 3.3. Life-Cycle Composting Greenhouse Gas Emissions, by Emission Type (MTCO₂e/short ton of**
 411 **material collected for composting)**

Material	Emissions (+) or Reductions (-) for Avoided Disposal, by Disposal Facility Type			
	Landfill with no gas collection ¹⁸	Landfill with gas collection but no energy recovery ¹⁹	Landfill with gas collection and energy recovery ²⁰	Combustion facility ²¹
Food Waste	-1.47	-0.37	-0.21	0.13
Yard Trimmings	-0.79	-0.20	-0.11	0.16
Grass	-0.72	-0.18	-0.10	A
Leaves	-0.56	-0.14	-0.08	A
Branches	-1.17	-0.29	-0.17	A

¹⁷ http://www.arb.ca.gov/cc/protocols/localgov/pubs/compost_method.pdf

¹⁸ Source: <http://www.epa.gov/climatechange/waste/downloads/Landfilling.pdf>. Source: <http://www.epa.gov/climatechange/waste/downloads/Landfilling.pdf>. Calculated as negatives of CH₄ generation (from Exhibit 6) less 10% oxidation.

¹⁹ Ibid. Calculated as negatives of CH₄ generation (from Exhibit 6) less 75% collection and 10% oxidation.

²⁰ Calculated as emissions from previous column plus an energy recovery credit calculated as 13% (per EPA exhibit 13) of gas collection (calculated as 75% of CH₄ generation).

²¹ Source: <http://www.epa.gov/climatechange/waste/downloads/Combustion.pdf> Source: <http://www.epa.gov/climatechange/waste/downloads/Combustion.pdf> Calculated as (negative of the values in Exhibit 7, column (f)) + 0.01. The 0.01 is added because EPA estimates collection-related emissions of 0.04 for composting, compared to 0.03 MTCO₂e/ton for combustion.

412 ^A Emissions factors are not available for combustion of grass, leaves and branches as individual waste
413 types. Use the value for yard trimmings for these materials.

Example 3.2 Emissions Reductions from Composting

A community composts 3,000 tons of food waste and 7,000 tons of yard trimmings. If not recycled, the waste would have been sent to a landfill with landfill gas collection and energy recovery.

$$\begin{aligned} \text{Emissions (MTCO}_2\text{e)} &= 3,000 \text{ tons} * (-0.21 \text{ MTCO}_2\text{e/ton)} + 7,000 \text{ tons} * (-0.11 \text{ MTCO}_2\text{e/ton)} \\ &= -630 \text{ MTCO}_2\text{e} + (-770 \text{ MTCO}_2\text{e)} \\ &= -1,400 \text{ MTCO}_2\text{e} \end{aligned}$$

414

415

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416 **4: Estimating Additional Emissions**

417 **4.1 Background**

418
419 Some communities may want to estimate just those emissions and emissions reductions associated with
420 community-scale recycling and composting that are not already included or reflected in the
421 community's greenhouse gas inventory. This section provides guidance on how to do this.

422
423 This approach is inherently more involved than simply estimating the full, net emissions/reductions
424 associated with community-scale recycling and composting. Section 3 of this Protocol describes the
425 simpler method. To estimate just the emissions that are not already accounted for, the aggregated
426 emissions factors in Section 3 (for example, in Table 3.2) need to be disaggregated into individual
427 components. Then, each component must be evaluated based on whether it is already included, or not,
428 in the community's inventory. To complicate matters further, the Community Protocol encourages
429 communities to use multiple accounting frameworks that will each include (and exclude) emissions
430 associated with different activities and sources. Therefore certain emissions associated with recycling
431 and composting may be included in emissions under one reporting framework but not under another.

432
433 Additional background regarding the greenhouse gas impacts of recycling and composting generally is
434 included in Section 3.1 of this Protocol and should be read before proceeding further.

435
436 Estimating the emissions/reductions associated with recycling and not accounted for elsewhere in the
437 community inventory is best performed in a series of five parallel elements, each of which is described
438 below. The five elements are:

- 439 • Emissions and emissions reductions of recycling collection, processing, transport, and
440 displacement of virgin material. See Section 4.3
- 441 • Emissions associated with composting. See Section 4.4
- 442 • Emissions reductions of avoided collection and transport of waste to landfill or combustion
443 facility. See Section 4.5
- 444 • Emissions and emissions reductions of avoided landfilling. (This track is only relevant if the
445 community's non-recycled and non-composted waste is landfilled.) See Section 4.6
- 446 • Emissions and emissions reductions of avoided combustion. (This track is only relevant if the
447 community's non-recycled and non-composted waste is burned.) See Section 4.7

448
449 If the community's emissions inventory includes emissions associated with the community's use of
450 materials and services (trans-boundary community-wide supply chains), as described in Appendix H of
451 the Community Protocol, then this method becomes more complex. The same is true for communities
452 that have included consumption-based emissions, as described in Appendix I of the Community
453 Protocol. Some consumption-based methods include the emissions and emissions reductions of avoided
454 disposal, while some do not. Further, communities using these advanced accounting methods may
455 already be reporting more than one set of emissions as part of their community inventory report. It is

456 important to understand what is being counted in trans-boundary community-wide supply chains
457 and/or consumption-based emissions. This will determine which of the emissions factors and
458 approaches in this section to use, whether and how to aggregate them, and how to report them in the
459 context of community-wide emissions. Some additional guidance on this topic is provided below, but
460 you will also need to apply some critical thinking to determine the best way to tell the story of the
461 emissions impacts and benefits of recycling in the context of your community inventory frameworks.²²
462

463 **4.2 Overview of Recycling and Composting Method**

464
465 Steps 1, 2, and 5 – 8 apply to both recycling and composting. Step 3 is specific to recycling and Step 4 is
466 specific to composting.

- 467
468 1. Estimate the quantity (in short tons) of materials collected from the community for recycling
469 and composting during the inventory year, by material type. (This is the same as Step 1 in
470 Section 3.) See Section 2 for a discussion of methods for estimating quantities of material
471 recycled, and Table 4.2 below for a list of material types.
- 472
473 2. For each material recycled and composted, determine the facility (either landfill or incinerator)
474 that the material would have gone to for disposal, had it not been recycled. (This is the same as
475 Step 2 in Section 3.) If your community sends its wastes to multiple facilities, then a breakdown
476 of waste sent to each facility will be needed (e.g., 20% to landfill without gas collection, 65% to
477 landfill with gas collection, 15% to waste incinerator).
- 478
479 3. Refer to Section 4.3 to determine the emissions and emissions reductions associated with
480 recycling collection, processing, transport, and displacement of virgin materials that are not
481 already included in your inventory.
- 482
483 4. Refer to Section 4.4 to determine the emissions associated with composting.
- 484
485 5. Refer to Section 4.5 to determine the emissions reductions associated with reduced collection
486 and transportation of waste (diverted from landfill or incinerator due to recycling and/or
487 composting) that are not already accounted for in your inventory.
- 488
489 6. If waste from your community is landfilled, refer to Section 4.6 to determine the emissions and
emissions reductions associated with avoided landfilling that are not already accounted for in
your inventory.
7. If waste from your community is combusted, refer to Section 4.7 to determine which emissions
associated with avoided combustion are not already accounted for in your inventory.
8. Add the results of Steps 3, 4, 5, 6 and 7 together.

²² For two examples, see emissions inventories from King County, Washington (Appendix C of <http://www.kingcounty.gov/environment/climate/climate-change-resources/emissions-inventories/2008-report.aspx>) and the State of Oregon (<http://www.deq.state.or.us/lq/pubs/docs/SupplementalTechnicalReportTreatmentGHG.pdf>).

490 Example 4.1 shows what Step 8 might look like for a hypothetical community, Sample City. The methods
 491 in sections 4.3 through 4.7 will allow you to fill out column B. Column D can be filled out using the
 492 methods in Section 3, and Column C can be calculated by subtracting column B from Column D.

493 **Example 4.1: Calculation of net emissions/reductions from recycling and composting for Sample City**

A: Type of emissions or reduction	Emissions (+) or emissions reduction (-), Metric tons CO2e		
	B: Not included in inventory	C: Already included in inventory	D: Total
Recycling collection and transport and displacement of virgin materials	-37,260	0	-37,260
Composting	0	0	0
Avoided waste collection and transport	0		
Avoided landfilling-methane adjustment	1370		
Avoided landfilling-energy recovery	659	0	659
Avoided combustion	N/A	N/A	N/A
Total	-35,230		-40,504

494
 495 **4.3 Emissions and Emissions Reductions of Recycling Transport, and Displacement of Virgin**
 496 **Materials**

497 The relevant emissions and emissions reductions in this element include:

- 498 • Emissions associated with collecting and processing recyclables
- 499 • Emissions associated with transporting recyclables to market*
- 500 • Avoided emissions due to reduced transportation of virgin materials (due to displacement)*
- 501 • Energy and process emissions associated with the use of recycled materials in manufacturing*
- 502 • Avoided energy and process emissions associated with the reduced use of virgin materials in
 503 manufacturing (due to displacement)*
- 504 • Increased forest carbon storage due to paper and wood recycling.

505
 506 The treatment of emissions and reductions noted with an asterisk (*) above depends in part on whether
 507 or not each collected recyclable material is used by end-markets located inside the community's
 508 boundaries.

509 Emissions associated with collection of recyclables are almost always included in a community
 510 inventory, as part of emissions associated with on-road vehicles. Processing (e.g., sorting) emissions are
 511 associated with use of electricity and use of fuels in stationary combustion equipment. These emissions
 512 may already be included in your community's inventory, depending on the location of the processing
 513 facility. However, they are typically very small and as such are not discussed further here.

514 **Box 4.1: End-market definition**

In this context, “end-market” refers to manufacturers that use recycled feedstocks in lieu of virgin feedstocks in the manufacture of materials. Most of the reductions in energy and process emissions occur at this point. “End market” does not mean the customers of the products made by these manufacturers. For example, many communities collect old corrugated containers, which are pulped and made into new corrugated medium or linerboard at paper mills. The medium and linerboard may be shipped in rolls to a box plant that uses them to make boxes, and the boxes may be purchased by local manufacturers of other products. The end market in this example is the paper mill that uses the recycled waste to make new linerboard or medium, *not* the box plant or subsequent users of the boxes.

515

516 **Steps to calculate emissions and reductions from recycling transport and substitution**

517 Step 3a: For each material recycled, refer to Table 4.1 to determine which emissions and emissions
518 reductions associated with recyclable transport and displacement of virgin materials should be counted
519 in this method (that is, where the emissions or emissions reductions are not already accounted for in
520 your community's inventory). Note that if your inventory looks at supply chain emissions (Community
521 Protocol Appendix H), you should answer yes to Q1 in Table 4.1 for those materials for which supply
522 chain emissions were estimated. You should answer no to Q1 for all other materials. Similarly, for Q2,
523 some materials may have end markets in your community, while others will not.

524 Step 3b: For each material for which these emissions are to be counted (because they are not already
525 accounted for in your community's inventory), multiply the tonnage recycled during the inventory year
526 by the relevant emissions factor or factors from Tables 4.2 and 4.3. Table 4.1 will identify which
527 emissions factor(s) in Tables 4.2 and 4.3 to use.

528 Step 3c: Sum across all materials the results from Step 3b.

529

530

531
532
533

Table 4.1: Determining Which Emissions and Emissions Reductions from Recycling Transport and Displacement of Virgin Materials are not Included in Inventory

Emissions/Reduction Type	Q1: Does community inventory include trans-boundary community-wide supply chains for this material or consumption-based emissions:		
	No		Yes
	Q2: Is recycled material end market located in your community or a different community?		
	In your community:	In a different community:	
Emissions associated with transporting recyclables to market (Table 4.2, column B) ⁱ	Do not count this. ⁱⁱ	Count this.	The average <i>use</i> of recycled materials in production (in lieu of virgin resources) is already reflected in emissions factors (see SC.3.2 and Appendix I). This means that the emissions and emissions reductions of “average” recycling are already reflected in trans-boundary community-wide supply chains and consumption-based emissions. A community could calculate the “additional” (above average) tons of materials recycled when the community’s recycling rate exceeds the national average. For this, they could use emissions factors in Tables 4.2 and 4.3 to estimate the emissions and reductions associated just for these “additional” tons. ⁱⁱⁱ
Avoided emissions due to reduced transportation of virgin materials due to displacement (Table 4.3, column B)	Count this, unless already included using an inbound freight accounting method (such as SC.4).	Count this.	
Process energy and non-energy emissions associated with the use of recycled materials in manufacturing (Table 4.2, columns C and D)	Depends - see footnote. ²³	Count this.	
Avoided process energy and non-energy emissions associated with the reduced use of virgin materials in manufacturing ^{iv} (Table 4.3, columns C and D)	Depends - see footnote. ¹⁸	Count this.	
Increased forest carbon storage due to paper and wood recycling (Table	Count this		

²³ For community-generated recyclables that are used by an in-boundary manufacturer, some of the emissions and emissions reductions are already included in the community’s inventory under the basic emissions generating activities ‘use of electricity by the community’ and ‘use of fuel in commercial stationary combustion equipment.’ However, if industrial uses of fuel are excluded from the community’s inventory, then energy and avoided energy related emissions should be counted here. Further, if industrial process (not energy-related) emissions are not included in the community’s inventory (see Community Protocol, BE.8) then process non-energy emissions and emissions reductions also should be counted here.

534 **Table 4.2**
 535 **Emissions of Recycling Transport and Use of Recycled Materials in Manufacturing (MTCO₂e/short ton**
 536 **of material collected for recycling)**
 537

A: Material	Emissions (+) or reductions (-) ^y from:		
	B: Transporting recyclables to market	C: Use of recycled materials in manufacturing processes, energy	D: Use of recycled materials in manufacturing processes, non-energy
Mixed Recyclables	0.02	0.23	0.08
Aluminum Cans	0.03	2.02	0
Aluminum Ingot	0.02	0.25	0
Steel Cans	0.29	0.65	0.85
Copper Wire	0.11	0.38	0
Glass	0.02	0.20	0
HDPE	0.16	0.32	0
PET	0.18	0.71	0
Corrugated Containers	0.02	0.38	0
Magazines/Third-Class Mail	0.01	0.81	0
Newspaper	0.02	1.09	0
Office Paper	0.01	0.81	0
Phone Books	0.03	0.99	0
Textbooks	0.03	1.27	0
Dimensional Lumber	0.06	0.15	0
Medium-Density Fiberboard	0.12	0.33	0
Mixed Paper (general)	0.02	0.63	0
Mixed Paper (primarily residential)	0.02	0.63	0
Mixed Paper (primarily from offices)	0.03	2.53	0.01
Mixed Metals	0.21	1.07	0.59
Mixed Plastics	0.17	0.53	0
Carpet	0.02	0.95	0
Personal Computers	0.07	0.63	0.01
Concrete	0.01	0	0
Tires	0.06	0.12	0.04
Asphalt Concrete	0	0.03	0
Asphalt Shingles	0.02	0	0

538

539

540

541

542 **Table 4.3**
543 **Emissions Reductions from Displacement of Virgin Materials (MTCO_{2e}/short ton of material collected**
544 **for recycling)**
545

A: Material	Emissions (+) or reductions (-) ^{vi} from:			
	B: Reduced transportation of virgin materials	C: Reduced use of virgin materials in manufacturing processes: energy	D: Reduced use of virgin materials in manufacturing processes: non-energy	E: Change in forest carbon flux due to paper and wood recycling ^{vii}
Mixed Recyclables	-0.05	-0.48	-0.15	-2.45
Aluminum Cans	-0.07	-7.37	-3.50	NA
Aluminum Ingot	-0.04	-4.24	-2.96	NA
Steel Cans	-0.33	-2.41	-0.85	NA
Copper Wire	-0.02	-5.35	0	NA
Glass	-0.04	-0.32	-0.14	NA
HDPE	-0.16	-1.02	-0.15	NA
PET	-0.10	-1.58	-0.33	NA
Corrugated Containers	-0.07	-0.38	-0.01	-3.06
Magazines/Third-Class Mail	-0.01	-0.82	0	-3.06
Newspaper	-0.05	-1.83	0	-2.02
Office Paper	-0.01	-0.59	-0.02	-3.06
Phone Books	-0.03	-1.62	0	-2.02
Textbooks	-0.03	-1.32	0	-3.06
Dimensional Lumber	-0.06	-0.08	0	-2.53
Medium-Density Fiberboard	-0.11	-0.27	0	-2.53
Mixed Paper (general)	-0.12	-0.99	-0.01	-3.06
Mixed Paper (primarily residential)	-0.12	-0.99	-0.01	-3.06
Mixed Paper (primarily from offices)	-0.14	-2.96	-0.01	-3.06
Mixed Metals	-0.25	-3.93	-1.66	NA
Mixed Plastics	-0.12	-1.31	-0.25	NA
Carpet	-0.03	-2.41	-0.91	NA
Personal Computers	-0.10	-2.18	-0.78	NA
Concrete	-0.02	0	0	NA
Tires	-0.07	-0.51	-0.04	NA
Asphalt Concrete	-0.05	-0.06	0	NA
Asphalt Shingles	-0.04	-0.07	0	NA

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549
550

Example 4.1 Emissions/Reductions from Recycling Transport and Displacement of Virgin Materials

A community recycles 2,000 tons of plastic and 10,000 tons of paper. The end markets (as defined in Box 4.1) for both materials are outside the community, and the community inventory does not include supply chain or consumption-based emissions.

Plastic

Emissions (metric tons CO₂e)= 2,000 tons * (0.17 + 0.53 + 0 + (-0.12) + (-1.31) + (-0.25))MT CO₂e/ton
=2,000 tons *(-0.98 MTCO₂e/ton)
=-1,960 MTCO₂e

Paper

Emissions (metric tons CO₂e)= 10,000 tons* (0.02 + 0.63 + 0 + (-0.12) + (-0.99) + (-0.01) + (-3.06) MT CO₂e/ton
=10,000 tons * (-3.53 MTCO₂e/ton)
=-35,300 MTCO₂e

Total = -1,960 MTCO₂e + (-35,300 MTCO₂e) = -37,260 MTCO₂e

551

552 **4.4 Emissions and Reductions from Composting**

553 There are several different pathways for emissions and emissions reductions associated with
554 composting, but most of these are accounted for elsewhere or excluded from the current version of
555 this RC Protocol, as summarized below:

- 556 • Emissions associated with collecting and transporting feedstocks. In-boundary emissions are
557 typically already included in the community's GHG inventory. However, if the compost facility is
558 located outside of the community's boundaries, you need to add emissions associated with
559 transportation. See Section 4.4.1,below.
- 560 • Emissions associated with compost facility operations. These emissions include emissions from
561 fuels used by equipment at the compost facility, as well as fugitive emissions of methane and
562 nitrous oxide from the compost pile itself. See Section 4.4.2 below.
- 563 • Avoided emissions (and emissions increases) when putrescible materials are diverted away from
564 landfills and/or combustion units. These impacts are addressed in Sections 4.5, 4.6, and 4.7
565 below.
- 566 • Emissions reductions when finished compost is applied to agricultural soils. Potential emissions
567 reductions include soil carbon storage as well as displacement of emissions-intensive fertilizer
568 and/or water use. None of these emissions are currently included in this Protocol; see Section 1
569 for additional details.

570

571 **4.4.1 Transporting Feedstocks to Compost Facility**

572 If composting feedstocks are transported to a compost facility located outside of the community's
573 boundaries, then some of the associated transportation emissions may be excluded; refer to the
574 transportation section of the community's inventory. These excluded emissions can be estimated by
575 using the distance from the community to the compost facility, estimating the tons of feedstock
576 transported in an average vehicle trip and the fuel economy for the relevant travel mode (single-unit
577 truck, tractor/trailer, rail, etc.), and then estimating total fuel use and associated emissions. Fuel use

578 per ton of feedstock transported can be estimated as ((miles round trip)/(miles per gallon))/(tonnage of
579 feedstock delivered).²⁴ Once the quantity of fuels used is estimated, refer to Community Protocol
580 Appendix D for emissions factors for various transportation fuels.

581

582 **4.4.2 Emissions from Compost Facility Operations**

583 Emissions associated with compost facility operations include emissions from fuels used by equipment
584 at the compost facility, as well as fugitive emissions of methane and nitrous oxide from the compost pile
585 itself. At this time, EPA’s WARM tool does not provide an emissions factor for methane and nitrous
586 oxide emissions from composting operations. Research into these emissions is ongoing, and these
587 emissions may be included in future version of WARM.

588

589 Emissions associated with compost facility equipment can be estimated using equation 4.1.

590

Equation 4.1. Emissions Associated with Turning Compost²⁵
Emissions = 0.015 MTCO ₂ E/ton * M _{total}
Where: M _{total} = Total mass of waste composted (wet short tons)

591

592 **4.5 Avoided Emissions (Reductions) Associated with Reduced Collection and Transport of Mixed** 593 **Waste to Landfills and/or Combustion Facilities**

594

595 When materials are recycled, rather than landfilled or sent to a combustion facility, there are a resulting
596 reduction in emissions associated with both collecting the wastes, and transporting them to a disposal
597 site. Collection emissions are typically already included in the community’s inventory (as part of the
598 required basic emissions generation activity of on-road freight motor vehicle travel), and do not need to
599 be counted again. Further, since the emissions associated with collecting the recyclables or
600 compostables are also not accounted for in this method, it makes sense to not count avoided emissions
601 associated with reduced collection of any discarded material, regardless of disposition.

602

603 Transport emissions and reductions in disposal-related transportation are also commonly included in the
604 community’s inventory as part of in-boundary movement of freight, and so reductions in transportation-
605 related emissions do not need to be accounted for here, at least to the extent that the disposal facility is
606 located in the community. Similarly, transportation emissions may have been already accounted for
607 using Method SW.6 from Appendix E of the Community Protocol. However, if a community sends its

²⁴ For example, ((60 miles round trip)/(6 miles per gallon))/5 tons = (10 gallons)/(5 tons) = 2 gallons per ton.

²⁵ Derived from Exhibit 2 of

<http://www.epa.gov/climatechange/waste/downloads/Composting.pdf><http://www.epa.gov/climatechange/waste/downloads/Composting.pdf>. Calculated as overall emissions factor for transportation and turning compost, multiplied by the percentage of total energy associated just with turning compost piles (0.22 million BTU/0.58 million BTU).

608 disposed waste to a disposal facility in a different community, and these emissions are not already
609 accounted for using Method SW.6, then the reduction in waste transportation-related emissions has not
610 been accounted for elsewhere and should be estimated here. To estimate these reduced emissions, use
611 the formula for transportation emissions ($TE = M * MT * EFT$) contained in Equation SW.6. For the variable
612 M, use the quantity of materials recycled and composted, not the materials disposed of. Be sure to put a
613 negative sign in front of the results to indicate that the value represents a reduction in emissions
614 (emissions transporting wastes to disposal facilities are reduced due to diversion of wastes to recycling
615 or composting). Note that emissions for transporting recycled materials to a processing facility outside
616 the community were accounted for in Section 4.3.

617

618 **4.6 Avoided Emissions (Reductions) and Increased Emissions (Avoided Reductions) Associated** 619 **with Diversion of Recycled and Composted Materials from Landfill**

620

621 Community-generated waste sent to landfills may have several different types of emissions or emissions
622 reductions associated with it that are already reflected in the community's inventory. When a
623 community recycles or composts wastes that would otherwise be landfilled, the landfill-related
624 emissions are reduced. Any landfill-related emissions reductions (associated with energy recovery) are
625 also reduced, which leads to an increase in emissions (a reduction in emissions reductions is the same as
626 an increase in emissions).

627 The landfill-related emissions and reductions that may be changed by recycling and composting include:

628 • Methane emissions from landfills located in the community, if community-generated waste is
629 disposed of in such landfills. These emissions are estimated using method SW.1 in the
630 Community Protocol. Method SW.1 estimates inventory-year emissions from waste that was
631 disposed of historically. Most methane emissions from landfilling do not occur in the same year
632 that the waste is placed in landfill. For these reasons, method SW.1 is not particularly relevant
633 for a community estimating the emissions impacts of recycling and composting occurring during
634 the inventory year.

635 • Methane emissions from community-generated waste sent to landfills, regardless of landfill
636 location. These emissions are estimated using method SW.4 of the Community Protocol. This
637 method estimates future "lifetime" emissions resulting from waste disposed of during the
638 inventory year. Estimation of these emissions is required as one of the Community Protocol's
639 basic emissions generating activities.

640 • Landfills that capture methane and use it to produce energy may result in a reduction in fossil
641 fuel combustion elsewhere (and associated emissions). These emissions reductions may or may
642 not already be reflected in the community's inventory.

643 • Emissions resulting from the use of fossil fuels by landfill equipment. These emissions are
644 estimated using optional method SW.5.

645 • Collection and transportation emissions are associated with collecting and transporting landfill-
646 bound waste from the community. These emissions are estimated using method SW.6. If the
647 community uses this optional method in their inventory, then reductions in emissions associated

648 with garbage collection and transport are already reflected in the community's inventory.²⁶
649 Emissions associated with collection are typically already accounted for as part of on-road
650 freight motor vehicle travel. Potential reductions in these emissions are addressed separately, in
651 Section 4.5, above.

652
653 To determine which emissions and emissions reductions associated with avoided landfilling (due to
654 recycling) are to be estimated, and methods for estimating them, use the following steps:

- 655 • Step 6a: Use Section 4.6.1 to determine whether avoided landfill methane emissions are
656 accurately reflected in your community's inventory, and if not, to estimate the emissions
657 reductions or increases not reflected in your community's inventory.
- 658 • Step 6b: If the landfill accepting waste from your community is recovering energy from
659 methane, use Section 4.6.2 and Figure 4.1 to determine whether the associated reductions in
660 fossil fuels due to energy recovery are already reflected in your community's inventory. If not,
661 use the method indicated by Figure 4.1 to estimate the increase in emissions as recycling and
662 composting diverts material from the landfill.
- 663 • Step 6c: Use Section 4.6.3 to determine whether or not to include reductions in emissions
664 associated with landfill equipment.
- 665 • Step 6d: Add results from steps 6a, 6b, and 6c together. These are the net emissions reductions
666 and/or increases associated with the reduction in landfilling of waste resulting from your
667 community's recycling and composting that are not already included in your community's
668 inventory. The value from Step C must be negative (a reduction in equipment emissions due to
669 recycling/composting) while the value from Step B will either be zero or positive which
670 represents an increase in net emissions due to reduced displacement of fossil fuels as gas
671 production decreases. The value from Step A may be positive or negative, depending on local
672 circumstances and inventory methods.

673 674 **4.6.1 Landfill Methane Emissions Adjustment**

675 If the calculation of emissions from generated waste in your community inventory (using Method SW.4)
676 was not based on waste characterization data from your community, then the change in landfill
677 methane emissions over time (calculated using Method SW.4) may be over- or under-estimating the
678 emissions reductions benefits of recycling or composting. This is because the composition of waste
679 collected for recycling and/or composting may be different from the composition of waste used to
680 calculate emissions from community waste disposal.

681

²⁶Emissions associated with transporting recyclables and compostables are accounted for elsewhere. Emissions associated specifically with collecting recyclables and compostables are not included in this protocol, in part because they are presumed to already be included in the community's estimate of all on-road freight motor vehicle travel. Emissions associated with long-haul transport to end-markets located outside of the community are included (see Tables 4.1 and 4.2).

682 If the calculation of emissions from generated waste in your community inventory is based on waste
 683 characterization data from your community, you can skip this section and proceed to 4.6.2. Otherwise,
 684 use equation 4.2 to calculate the adjustment.
 685

Equation 4.2: Adjustment to Methane Emissions (+) or Emission Reductions (-) Associated with Avoided Landfilling (No Waste Characterization for Landfilled Waste)

$$\text{Emissions} = (\text{GWP}_{\text{CH}_4} * (1 - \text{CE}) * (1 - \text{OX})) * ((M_{\text{total}} * \text{EF}_{\text{mixed waste}}) - (\sum_i (M_i * \text{EF}_i)))$$

Where:

GWP_{CH_4} = CH4 global warming potential

CE = Default LFG Collection Efficiency (see Equation SW.4.1)

OX = Oxidation Rate (0.10)

M_{total} = Total mass of waste recycled (wet short tons)

M_i = Mass of material component i recycled (wet short tons)

$\text{EF}_{\text{mixed waste}}$ = Emissions factor for mixed waste (mtCH4/wet short ton; from Table 4.4) if this factor was used to calculate emissions from generated waste in your community inventory;

OR, if your community inventory uses a non-local waste characterization

$\text{EF}_{\text{mixed waste}}$ = Emissions factor calculated using the waste characterization data used in Method SW.4.1 with waste component-specific emissions factors drawn from Table SW.5, so as to calculate an emissions factor for mixed waste that is specific to the waste composition data used by the community in its inventory

EF_i = Emissions factor for material component i (mtCH4/wet short ton; from Table 4.4; note that non-putrescible wastes, such as plastic, metal, and glass are not listed in Table 4.4 and have methane yield of zero)

M_i = Mass of material component i recycled (wet short tons)

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Example (Equation 4.2)

A community recycles 2,000 tons of plastic and 10,000 tons of newspaper in the inventory year. Had this material not been recycled, it would have gone to a landfill that operates gas collection and control equipment. Emissions from community-generated waste sent to this landfill were estimated using Equation SW.4.1 and no waste characterization data. An emissions factor for mixed waste of 0.060 mtCH₄/wet short ton was used.

$$\begin{aligned} \text{Emissions} &= (\text{GWP}_{\text{CH}_4} * (1 - \text{CE}) * (1 - \text{OX})) * ((M_{\text{total}} * \text{EF}_{\text{mixed waste}}) - (\sum_i (\sum_j M_i * \text{EF}_i))) \\ &= (21 * (1 - 0.75) * (1 - 0.10)) * ((12,000 \text{ tons} * 0.060 \text{ mtCH}_4/\text{ton}) - (2,000 \text{ tons} * 0 + 10,000 \\ &\text{ tons} * 0.043 \text{ mtCH}_4/\text{ton})) \\ &= (21 * (0.25) * (0.90)) * ((720 \text{ mtCH}_4) - (0 + 430 \text{ mtCH}_4)) \\ &= (4.725) * ((720 \text{ mtCH}_4 - 430 \text{ mtCH}_4)) = 1,370 \text{ mtCO}_2\text{e} \end{aligned}$$

Note in this example that the value is positive, so this represents emissions (not emissions reductions) that are not currently reflected in the community's inventory. In this example, 12,000 tons of recycled waste were diverted from landfill, and using an emissions factor for mixed MSW when estimating landfill-related disposal emissions resulted in an overly-large assumed reduction of emissions in the community inventory (12,000 tons of MSW with an "average" methane yield of 0.06 mtCH₄/ton). 2,000 of these 12,000 tons produce no methane and the other 10,000 tons produce methane at rate lower than the average for mixed MSW (0.043 mtCH₄/ton for newsprint vs. 0.060 mtCH₄/ton for mixed MSW). So the reduction in methane generation - and by extension - emissions, is *less than* the community's inventory using method SW.4 (with no waste characterization data) would have predicted. In this case, Method SW.4 is overestimating reductions in GHG emissions due to the diversion of these 12,000 tons from landfill, and the estimated value of +1,370 mT CO₂e corrects for this overestimation. Had the material diverted from landfill been *more* putrescible than average waste disposal (that is, had an average methane yield *higher* than 0.06 mtCH₄/ton), then changes in emissions estimated using Method SW.4 would be underestimating reductions in GHG emissions, and this method (4.2.5.1) would generate a negative value, that is, an additional emissions reduction to correct for this underestimation.

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Table 4.4 CH₄ Yield for Solid Waste Components²⁷

Waste Component	Emissions Factor, EF _i (mt CH ₄ /wet short ton waste)	Source
Mixed MSW*	0.060	U.S. EPA AP-42
Newspaper	0.043	WARM
Office Paper	0.203	WARM
Corrugated Containers	0.120	WARM
Magazines/Third-Class Mail	0.049	WARM
Food Scraps	0.078	WARM
Grass	0.038	WARM
Leaves	0.013	WARM
Branches	0.062	WARM
Dimensional Lumber	0.062	WARM

* – Mixed MSW factor may be used for entire MSW waste stream if waste composition data is unavailable

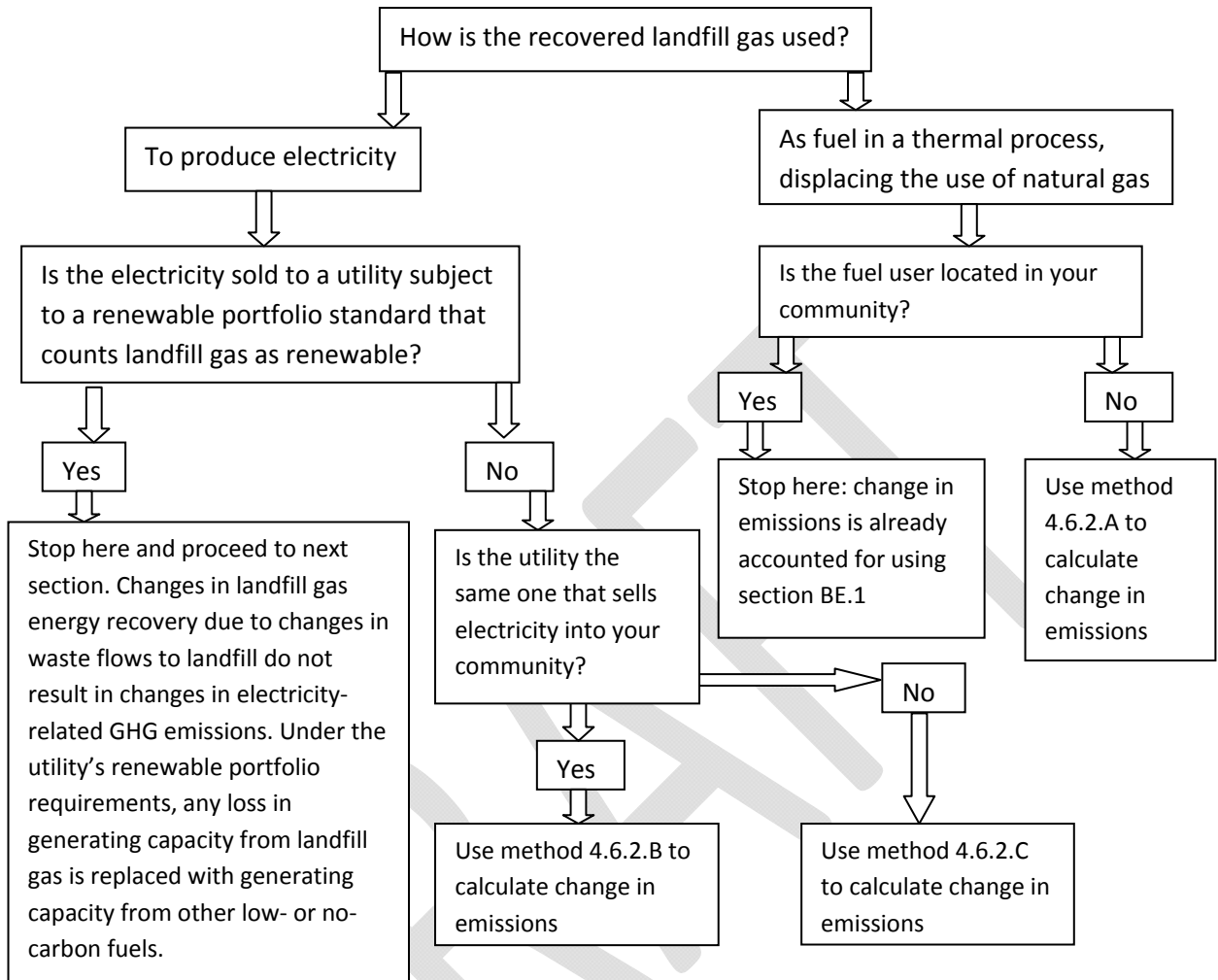
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4.6.2 Landfill Methane Energy Recovery

When landfills recover energy from gas, this gas displaces - or offsets - the use of some other source of energy, with a potential reduction in greenhouse gas emissions. The extent to which emissions are actually reduced depends on what energy source would be used in the absence of landfill gas. When recycling and composting programs divert waste from landfill and reduce gas generation, less gas is available to recover for energy. This Protocol uses an organizing principle that changes in energy-related emissions associated with changes in landfill gas generation because of recycling and composting are to be fully accounted for. Some of the shift in these emissions may already be reflected in the community's inventory, and some may not. Figure 4.1 provides guidance for determining what to include and how to estimate it.

²⁷ U.S. EPA AP-42 – U.S. EPA Emission Factor Database, Chapter 2.4 Municipal Solid Waste Landfills (1998)
WARM—Documentation for Greenhouse Gas Emissions and Energy Factors Used in the Waste Reduction Model (WARM) 2006

732 **Figure 4.1: Decision Tree for Changes in Emissions Related to Energy Recovery from Landfill Gas**



759 **Method 4.6.2.A**

760 For changes in emissions where landfill gas is used as fuel displacing the use of natural gas:

- 761 • Use Equation 4.3 to estimate the energy content of landfill gas *not collected* as a consequence of
- 762 diversion of recyclables and/or compostables from landfill.
- 763 • Use Method B.1 (in the Community Protocol) to estimate the greenhouse gas emissions (in
- 764 MTCO_{2e}) associated with combustion of natural gas with the same energy content.
- 765 • Count the results of these two steps as an increase in emissions associated with community-
- 766 scale recycling.

767 **Method 4.6.2.B**

768 For changes in emissions where landfill gas is used to generate electricity that is sold to a utility that sells

769 electricity to your community.

- 770 • Use Equation 4.3 to estimate the energy content (in MMBTU) of landfill gas *not collected* as a
- 771 consequence of diversion of recyclables and compostables from landfill.

- 772 • Use Equation 4.4 to estimate the energy content (in MWh) of electricity *not generated* as a
773 consequence of this reduction in landfill gas collection.
- 774 • Determine or estimate the percentage of the utility’s overall delivery of electricity (to all
775 communities) that is sold into your community. Call this “S”. Multiply (1 – S) by the results from
776 Equation 4.4. This is the energy content (in MWh) of electricity *not generated* as a consequence
777 of reduced landfill gas collection that is not already accounted for in your community’s
778 emissions from electricity use.
- 779 • Refer to the calculations in Method BE.2 from the Community Protocol. Use the same emissions
780 factors used in your community inventory (for your community’s use of electricity) to estimate
781 the GHG emissions associated with this electricity.
- 782 • Count the results of these steps as an increase in emissions (not counted elsewhere in your
783 inventory) associated with community scale recycling and composting.

784
785 **Method 4.6.2.C**

786 For changes in emissions where landfill gas is used to generate electricity that is sold to a utility that
787 does not sell electricity to your community:

- 788 • Use Equation 4.3 to estimate the energy content (in MMBTU) of landfill gas *not collected* as a
789 consequence of diversion of recyclables and compostables from landfill.
- 790 • Use Equation 4.4 to estimate the energy content (in MWh) of electricity *not generated* as a
791 consequence of this reduction in landfill gas collection.
- 792 • Refer to the hierarchy of preferred sources for utility electricity emissions factors contained in
793 Section BE.2 of the Community Protocol. Use the best available emissions factor for this utility.
- 794 • Count the results of these steps as an increase in emissions (not counted elsewhere in your
795 inventory) associated with community scale recycling and composting.

796
Equation 4.3: Energy Content (in MMBTU) of Landfill Gas Not Collected as a Consequence of Diversion of Putrescible Wastes from Landfill to Recycling or Composting

$$\text{Energy Content (in Million BTUs)} = ((50.6 \text{ MMBTU/MT of CH}_4 \text{ collected}) * (\text{CE}) * (\sum_i (\sum_j M_i * \text{EF}_i)))$$

Where:

CE = Default LFG Collection Efficiency (see Equation SW.4.1)

M_i = Mass of material component i recycled (wet short tons)

EF_i = Emissions factor for material component i (mtCH₄/wet short ton; from Table 4.4; note that non-putrescible wastes, such as plastic, metal, and glass are not listed in Table 4.4 and have methane yield of zero)

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Equation 4.4: Electricity (in MWh) not Generated from Landfill Gas not Collected as a Consequence of Diversion of Putrescible Wastes from Landfill to Recycling or Composting

$$\text{Electricity not generated (in MWh)} = E_{E3} * a * GF$$

Where:

GF = Generation factor of 0.0855 MWh generated/MMBtu gas²⁸

E_{E3} = Energy content of gas not collected (MMBTU), taken as result from Equation 4.3

a = Net capacity factor of electricity generation; assumed to be 85 percent

Example, Equations 4.3 and 4.4

A community recycles 2,000 tons of plastic and 10,000 tons of newspaper in the inventory year. Had this material not been recycled, it would have gone to a landfill where landfill gas is used to generate electricity. The electricity is sold to a utility that is not subject to a renewable portfolio standard, and does not sell electricity into the community. The landfill is located in eGrid region FRCC with a 2007 emissions factor of 1225.7 lbs CO₂e/MWh.

Step 1: Energy content of landfill gas not collected (Equation 4.3)

Energy Content (million BTUs) = (50.6 MMBTU/MT of CH₄ collected) * (0.75) * (2,000 tons*0 + 10,000 tons*0.043 mtCH₄/ton)

$$= (50.6 \text{ MMBTU/MT of CH}_4 \text{ collected}) * (0.75) * (430 \text{ MT CH}_4)$$

$$= (16,318 \text{ million BTUs})$$

Step 2: Electricity not generated (Equation 4.4)

Electricity not generated (in MWh) = (16,318 MMBTU) * (0.85) * (0.0855 MWh generated/MMBTU)

$$= 1,186 \text{ MWh}$$

Step 3: Emissions as a result of recycling, not already included in community inventory

Emissions (metric tons CO₂e) = 1,186 MWh * (1225.7 lbs CO₂e/MWh) * (1 metric ton/2204.62 lbs)

$$= 659 \text{ metric tons CO}_2\text{e}$$

This represents an increase in emissions because of the recycling and is not already included in the community inventory.

799

800 4.6.2.3 Process Emissions from Landfilling

801 Process emissions are the CO₂ emissions associated with powering the equipment necessary to manage
802 the landfill. If process emissions (Community Protocol method SW.5) for community-generated wastes

²⁸This factor is a combination of a unit conversion of 0.293 MWh/MMBtu, multiplied by an average generation efficiency. The factor of 0.0855 is derived from WARM. If desired, you may use a technology-specific generation efficiency as follows: Microturbine: 25%, Combustion Turbine: 32%, Reciprocating Engine: 35%.

803 sent to landfill were already included in your community's inventory, then changes in those emissions
804 over time already reflect the reduced landfill tonnage due to recycling and composting. No further
805 calculations are required. However, if your community's inventory did not include process emissions
806 (method SW.5) you may want to include in the benefits of recycling and composting the reduction in
807 process emissions resulting from recycling and composting. To do so, refer to method SW.5 of the
808 Community Protocol. For M (mass of solid waste), use the mass of materials recycled and composted.
809 Put a negative sign in front of the results of Equation SW.5, to indicate that recycling and composting
810 result in a reduction in landfill process emissions.

811 **4.7 Avoided Emissions (Reductions) and Increased Emissions (Avoided Reductions) Associated** 812 **with Diversion of Recycled and Composted Materials from Combustion**

813 When a community's recycled and composted wastes are diverted from a combustion facility, there are
814 changes in emissions associated with the combustion facility. By way of introduction, combustion-
815 related emissions and reductions that may be changed by recycling and composting include:

- 816 • Stack emissions from the combustion unit. These are typically accounted for using Community
817 Protocol Methods SW.2 (for combustion units located in the community) and SW.7 (for the
818 combustion only of community-generated waste, regardless of the location of the combustion
819 unit). For communities using Method SW.7, reductions in emissions associated with reduced
820 combustion of wastes (due to increased recycling and composting) are already (largely)
821 reflected in the community's inventory as combustion of community-generated waste is a basic
822 emission generating activity.²⁹
- 823 • Most waste combustion units recover energy and use it to generate electricity, which is
824 commonly sold into the grid and displaces the production of electricity using other energy
825 sources.
- 826 • Reduced emissions associated with recycling of ferrous metals (most combustion units recover
827 ferrous metals for recycling). As a community recycles more ferrous metals via source separated
828 recycling, less ferrous metal is available for recycling by the combustion unit.

²⁹ Technically, users of Method SW.7 are taking total emissions from the combustion facility and pro-rating a fraction of these emissions to the community based on the community's contribution of mixed waste (in tons) relative to the overall throughput of waste from all communities. In reality, emissions change both as overall tonnage changes, as well as the composition of that tonnage changes. Method SW.7 is sensitive to changes in tonnage from the community and overall composition of waste from all sources, but not changes in the composition of waste specific to the community. If the community's waste composition differs from the composition of all wastes being disposed of, and if changes in the community's waste composition due to recycling and/or composting deviate from changes in the waste composition of waste from other communities using the same incinerator, then Method SW.7 may be under- or over-estimating recycling benefits (analogous to Section 4.6.1 for landfills). However, since few communities will know the composition of wastes specific to both their community and the full and unique mix of waste going to the combustion unit, adjustments to the emissions estimated using Method SW.7 are probably not feasible, and so methods for adjusting these emissions are not provided here.

- Emissions associated with collecting mixed waste and transporting it to the combustion facility. Potential reductions in these emissions are addressed separately, in Section 4.5, above.

To determine which emissions and emissions reductions associated with avoided combustion (due to recycling and composting) are to be estimated, and methods for estimating them, use the following steps:

- Step 7a: If the combustion unit accepting waste from your community is generating electricity from the energy released during combustion of waste, use Section 4.7.1 and Figure 2 to determine whether the associated reductions in fossil fuels due to energy recovery are already reflected in your community's inventory, and if not, a method for estimating the increase in emissions as recycling and composting diverts material from the combustion unit, reducing energy production and potential fossil fuel displacement.
- Step 7b: Use Section 4.7.2 to determine whether or not to include the effects of reduced recycling of ferrous metal recovered by the combustion unit.
- Step 7c: Add results from steps 7a and 7b together. These are the net increases in emissions associated with the reduction of combustion of community-generated waste resulting from your community's recycling and composting that are not already included in your community's inventory.

4.7.1 Combustion Energy Recovery

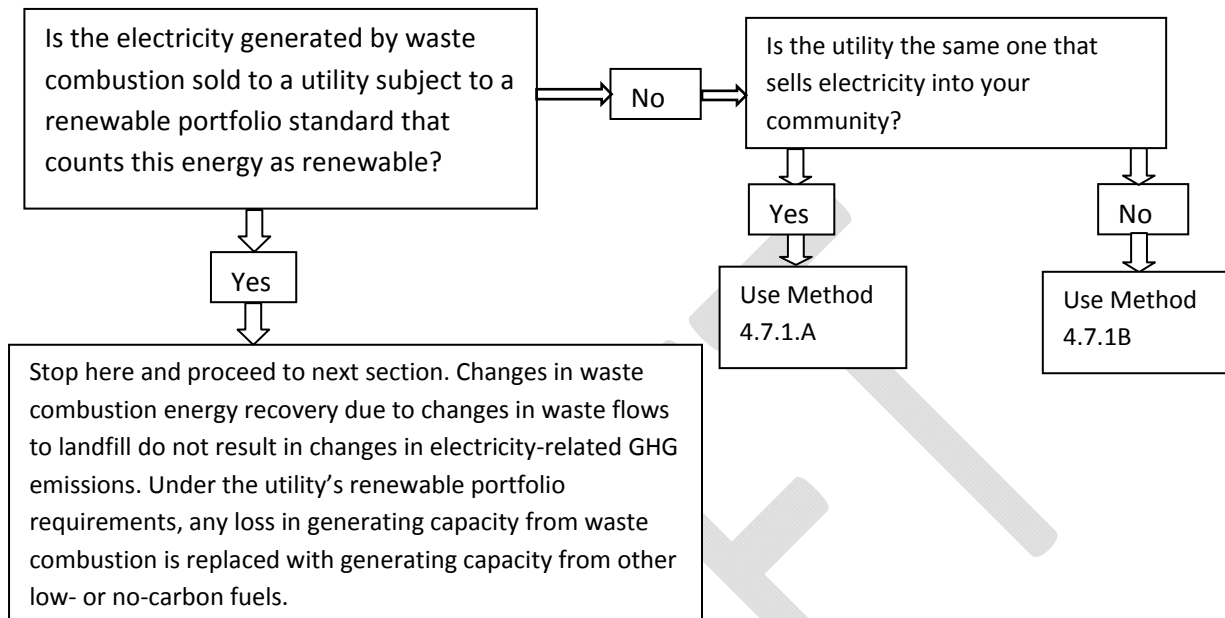
When wastes are combusted and heat energy is captured and used to generate electricity, this displaces - or offsets - the use of some other source of energy, with a potential reduction in greenhouse gas emissions. The extent to which emissions are actually reduced depends on what energy source would be used in the absence of waste combustion energy recovery. By extension, when recycling and composting programs divert waste from combustion unit and reduce energy recovery, these emissions reductions may be reduced, and thus count as an increase in emissions (a reduction in reductions is an increase). This Protocol uses an organizing principle that changes in energy-related emissions associated with changes in combustion of community-generated waste are to be fully accounted as a consequence of recycling and composting. Yet some of the shift in these emissions may already be reflected in the community's inventory. Figure 4.2 provides guidance for determining what to include and how to estimate it.

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872 **Figure 4.2: Decision for changes in emissions related to energy recovery from combusted wastes**

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890 **Method 4.7.1.A**

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For changes in emissions where waste combustion generates electricity that is sold to a utility that sells electricity into your community.

893

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- Use Equation 4.5 to determine the lost energy value (in MWh) of recyclables and compostables diverted from combustion.

895

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- Determine or estimate the percentage of the utility's overall delivery of electricity (to all communities) that is sold into your community. Call this "S". Multiply $(1 - S)$ by the results from Equation 4.5. This is the energy content (in MWh) of electricity *not generated* as a consequence of reduced waste combustion that is not already accounted for in your community's emissions from electricity use.

897

898

- Multiply the results of the preceding step by an appropriate emissions factor for electricity generation by your utility. Refer to the hierarchy of preferred sources for utility electricity emissions factors contained in Section BE.2 of the Community Protocol. Use the best available emissions factor for this utility.

900

901 **Method 4.7.1.B**

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903

For changes in emissions where waste combustion generates electricity that is sold to a utility that does not sell electricity into your community.

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905

- Use Equation 4.5 to determine the lost energy value (in MWh) of recyclables and compostables diverted from combustion.

- 910 • Multiply the results of the preceding step by an appropriate emissions factor for electricity
 911 generation by the utility. Refer to the hierarchy of preferred sources for utility electricity
 912 emissions factors contained in Section BE.2 of the Community Protocol. Use the best available
 913 emissions factor for this utility.

914
 915 **Table 4.5 Energy content (million BTU per ton) of wastes combusted³⁰**

Material combusted	Energy content (MMBTU/ton) ³¹
Aluminum cans	-0.67
Aluminum ingot	-0.70
Steel cans	-0.42
Copper wire	-0.55
Glass	-0.47
HDPE	40.0
LDPE	39.8
PET	21.2
LLDPE	39.9
PP	39.9
PS	36.0
PVC	15.8
PLA	16.7
Corrugated containers	14.1
Magazines/third class mail	10.5
Newspaper	15.9
Office paper	13.6
Phone books	15.9
Textbooks	13.6
Dimensional lumber	16.6
Medium-density fiberboard	16.6
Food scraps	4.7
Yard trimmings	5.6
Carpet	15.2
Personal computers	3.1
Tires	27.8
Asphalt shingles	8.8

³⁰ Source: Exhibit 2 of <http://www.epa.gov/climatechange/waste/downloads/Combustion.pdf>. Source: Exhibit 2 of <http://www.epa.gov/climatechange/waste/downloads/Combustion.pdf>.

³¹ Negative values are associated with wastes that give up no energy when combusted but rather consume energy during the combustion of mixed wastes.

Vinyl flooring	15.8
Wood flooring	18.0

916

Equation 4.5. Lost Energy Content (in MWh) from Avoided Combustion of Waste Due to Diversion via Recycling and Composting

$$\text{Energy Content (in delivered MWh)} = 0.293 \text{ MWh/MMBTU} * (\text{CSE}) * (\sum_i M_i * \text{EC}_i)$$

Where:

CSE = Average mass burn combustion system efficiency (converting energy content in BTUs to electricity as delivered in kWh) = 17.8% per EPA³²

M_i = Mass of material component i recycled or composted (wet short tons)

EC_i = Energy content (million Btu per ton) of wastes combusted for material component i, from Table 4.5

917

4.7.2 Ferrous Metal Recovery from Combustion Facilities

918 Many combustion facilities recover ferrous metal (steel, iron) and send it to recycling. This recycling
 919 activity reduces greenhouse gas emissions when the recovered steel displaces virgin steel in
 920 manufacturing. These emissions reductions are typically not already accounted for in community
 921 greenhouse gas inventories conducted using the Community Protocol.
 922

923

924 However, under this supplemental Recycling and Composting Protocol, we are interested in estimating
 925 the emissions impacts of community-scale recycling programs, including recycling by waste combustion
 926 facilities that serve the community.
 927

928

929 When steel is diverted from the waste combustion facility (via traditional source separation collection
 930 programs), slightly more steel is actually recycled, as the EPA estimates that combustion facilities
 931 recover on average 90 percent of steel sent to them as mixed waste.

932

933 If the combustion unit's recycling of steel (generated by your community) is already included in your
 934 community's steel recycling total (estimated under Step 3a of Section 4.3), then the impacts of shifting
 935 steel recycling away from the combustion facility is already reflected in your recycling totals, and does
 936 not require further assessment.

937

938 However, if the combustion facility accepting waste from your community is located in some other
 939 community, or for some other reason the amount of ferrous metal disposed with mixed waste by your
 940 community and subsequently recycled by the combustion facility is not included as part of your
 community's recycling totals (Step 3a of Section 4.3) then an adjustment is needed to the benefits of

³² This accounts for total system efficiency, translating the energy content of the fuel into the energy content of delivered electricity, after accounting for losses in converting energy in the fuel into steam, converting energy in steam into electricity, and delivering the electricity.

941 community-generated steel recycling. Go back to step 3b in Section 4.3 and identify the non-disposal
942 emissions and emissions reductions associated with ferrous metal recycling by your community.
943 Discount these all of these emissions and emissions reductions by 90%, to account for the consideration
944 that 90% of the ferrous metal recycled through source separation programs is not actually “new”
945 recycling; the emissions and emissions reductions would have occurred even in the absence of other
946 community recycling programs, had the ferrous metal been sent to the combustion facility instead.

947
948 **4.8 Endnotes**

ⁱ Table 4.2 provides estimates of transportation-related emissions for transporting recyclables to market using average U.S. conditions. Communities may also estimate these emissions using a different method, such as estimating the distance from the community to end-markets (where known), estimating fuel economy for the relevant travel mode (single-unit truck, tractor/trailer, rail, etc.), and then estimating total fuel use and associated emissions. See Community Protocol Appendix D for emissions factors for various transportation fuels.

ⁱⁱ Since end-market is in-boundary, these transportation emissions are typically already included in the community’s inventory (as part of the required basic emissions generation activity of on-road freight motor vehicle travel).

ⁱⁱⁱ For consumption-based emissions, the calculation of “additional” recycling is made even more complex since consumption-based emissions methods tend to focus on emissions associated only with household and government consumption (and sometimes business capital/inventory formation). In theory this would require comparing recycling rates not for the whole community but just for the household and government sectors. Given the difficulty most communities would have obtaining the data required to perform this analysis, no further description of it is provided here.

^{iv} The manufacturing-related emissions factors in Tables 4.2 and 4.3 combine emissions at primary materials manufacturers (steel and paper mills, etc.) with emissions from their supply chains and there is no easy way to distinguish between them. While supply chain emissions likely do not occur within the community’s borders, and so will not be included in the inventories of most communities, (and thereby should, in theory, be counted) there is currently no easy way to isolate just these supply chain emissions, and in the case of primary materials producers (steel mills, paper mills, etc.) the supply chain emissions are assumed to be smaller than direct emissions by the producers.

^v Emissions factors in Table 4.2 and the first three columns of Table 4.3 are both derived from EPA documentation, but do not exactly conform to virgin- and recycled-product emissions factors contained in EPA documentation. ICLEI’s emissions factors for transportation, process energy and process non-energy emissions, for both recycled production (Table 4.2) and virgin production (Table 4.3) are derived as follows: First, transportation, process energy and process non-energy emissions factors for production of one ton of material using recycled feedstocks are drawn from relevant material-specific background papers available on EPA’s website at <http://www.epa.gov/climatechange/waste/SWMGHGreport.html>. In the case of recycled production, EPA’s WARM tool treats some recycling processes as “open loop”; for example, some corrugated containers are recycled not back into corrugated containers (“closed loop”) but rather into other products (boxboard). As such, the emissions factors for materials in Tables 4.2 and 4.3 should be understood to be the emissions associated with making materials out of the listed recycled wastes, and not necessary making the same material. Second, this step is repeated for production of one ton of material using virgin feedstocks. Third, emissions across the three categories for recycled production are summed, as are emissions across the three categories for virgin production. Fourth, the difference (“emissions reduction from recycling”) between virgin and recycled production is calculated from these sums. Fifth, this value is then compared against the total emissions reductions shown in the first data column of Table 3.2 of this protocol. Typically the values in Table 3.2 are lower because they reflect both material loss inherent in recycling processes. The ratio of total emissions reductions (Table 3.2 divided by the difference calculated in step four of this process) is then multiplied by all six emissions factors identified in steps 1 and 2. These values are reported in Tables 4.2 and 4.3. The result is that for any given material, the sum of all emissions in Table 4.2 with all emissions reductions in Table 4.3 should equal the same value in the first data column of Table

3.2. For the first row, “mixed recyclables”, a somewhat different method was used. First, transportation, process energy and process non-energy emissions for individual materials (or groups of materials, such as mixed paper) were identified from elsewhere in Tables 4.2 and 4.3. These were weighted by percentage factors in Table 2.2. The sum (net reductions) was then estimated at -0.76 MTCO₂e per ton of mixed recyclables. However, this was determined to be incorrect, since EPA documentation (Recycling, Exhibit 2, columns (b) through (d)) directly estimates these reductions at -0.35 MTCO₂e. To comport with EPA documentation, results from the process described above (for mixed recyclables) were scaled downward so that the change in emissions (difference between Table 4.2 and 4.3) for each emission type (transportation, process energy, process non-energy) matches EPA documentation.

^{vi} Sources for the first three columns are described in the footnote for Table 4.2.

^{vii} Source:

<http://www.epa.gov/climatechange/waste/downloads/Recycling.pdf><http://www.epa.gov/climatechange/waste/downloads/Recycling.pdf>, Exhibit 2 column (e).