



Title Company Information

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|-------------------------------|---------------|--|-----------------|
| Company Name | | Person Completing this worksheet (Name and Position) | |
| Postal Address (Headquarters) | City | State | Zip |
| Phone | Fax | E-Mail | ALTA ID |
| Order/File Number | Title Insurer | Policy Number | Date of Closing |

Covered Transaction Determination:

A "Covered Transaction" is one in which you answer "YES" to all five questions below.

If you answer a question with a "NO", then the transaction is not a "Covered Transaction."

Note: If a "No" answer is given to any of questions it is not necessary to answer the remaining questions and you may skip to the Question 6b.

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| 1. Is the property in one of the following counties and the purchase price equal to or over the amount shown? <input type="checkbox"/> Yes <input type="checkbox"/> No CA – Los Angeles - \$2 million FL – Broward - \$1 million NY – Bronx - \$1.5 million CA – San Diego - \$2 million FL – Miami-Dade - \$1 million NY – Brooklyn - \$1.5 million CA – San Francisco - \$2 million FL – Palm Beach - \$1 million NY – Manhattan - \$3 million CA – San Mateo - \$2 million NY – Queens – \$1.5 million CA – Santa Clara - \$2 million TX – Bexar - \$500,000 NY – Staten Island - \$1.5 million | |
| 2. Is the property residential ¹ ? <input type="checkbox"/> Yes <input type="checkbox"/> No Property Address: | |
| 3. Is the purchase made <u>without</u> a loan from a financial institution? <input type="checkbox"/> Yes <input type="checkbox"/> No | Note: Only consider loans made by financial institutions with anti money laundering programs such as a bank, credit union or mortgage company. This does not include private or seller financing. |
| 4. Is the purchaser a corporation, limited liability company, partnership or similar business entity, whether formed under the laws of state or a foreign jurisdiction? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes indicate the type of entity | |
| 5. Was or will any of the purchase price, including earnest money deposits, be paid using one or more of the following? <input type="checkbox"/> Yes <input type="checkbox"/> No a) Currency (bills or coins); b) Cashier's check c) Certified check; d) Travelers check e) Money order; f) Business Check; or g) Personal Check | Note: Payments to a party representing the purchaser or seller (i.e. an attorney, real estate agent or settlement agent) using one of the listed forms of payment require a "Yes" answer. |
| 6. a) <input type="checkbox"/> Form 8300 Submission Required – If the answer to each of questions 1 to 5 "Yes", then a FinCEN Form 8300 must be filed. b) <input type="checkbox"/> No Form 8300 Submission Required – If any questions at 1 to 5 above contain a "NO" answer, then Form 8300 is not required. | |

¹ Residential means real property (including individual units of condominiums and cooperatives) designed principally for the occupancy of from one to four families.